

Training Binary Classifiers as Data Structure Invariants

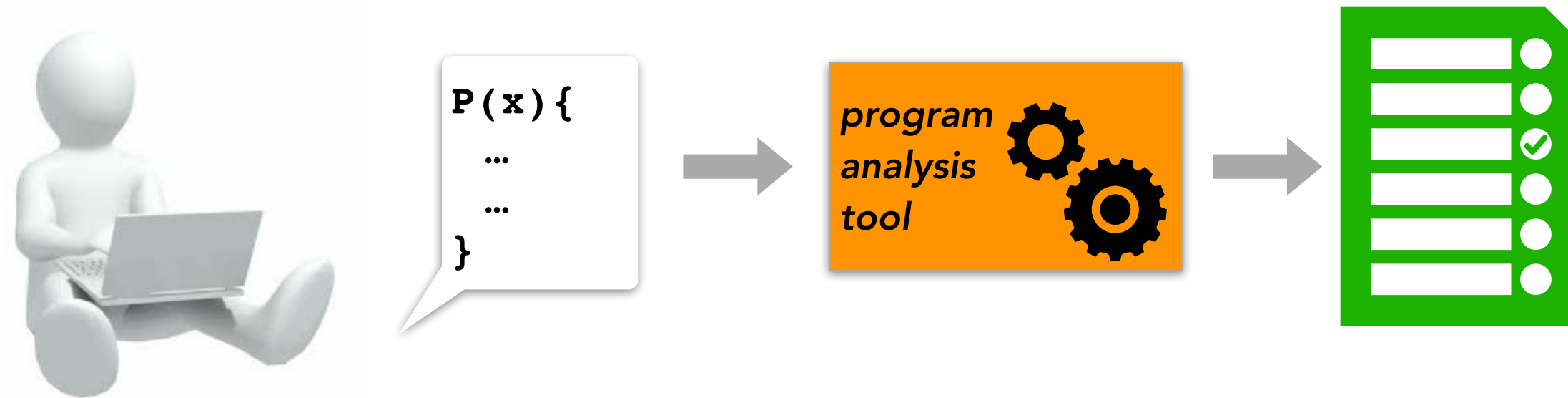
Facundo Molina

Department of Computer Science, University of Rio Cuarto, Argentina
CONICET, Argentina

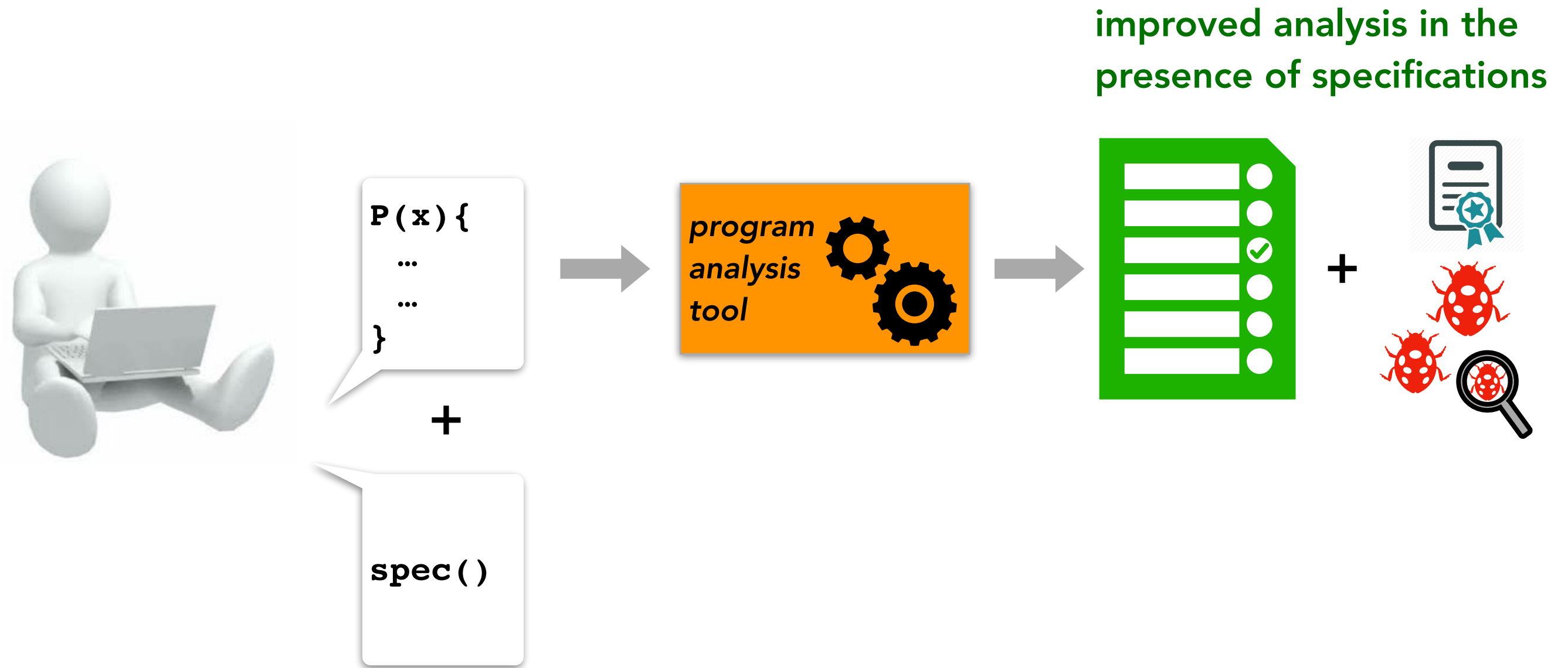
*in collaboration with Renzo Degiovanni, Pablo Ponzio, Germán Regis, Nazareno Aguirre
and Marcelo Frias*



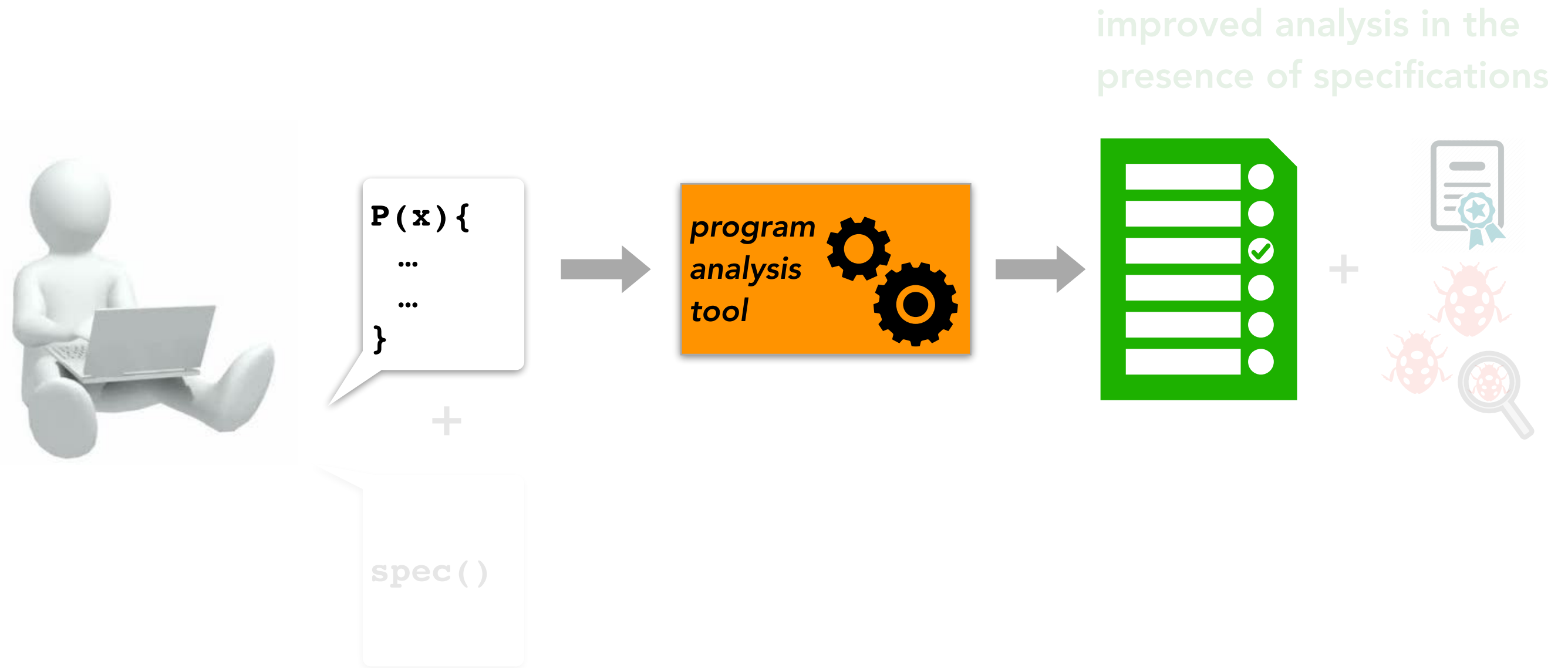
An Automated Analysis Scenario



An Automated Analysis Scenario

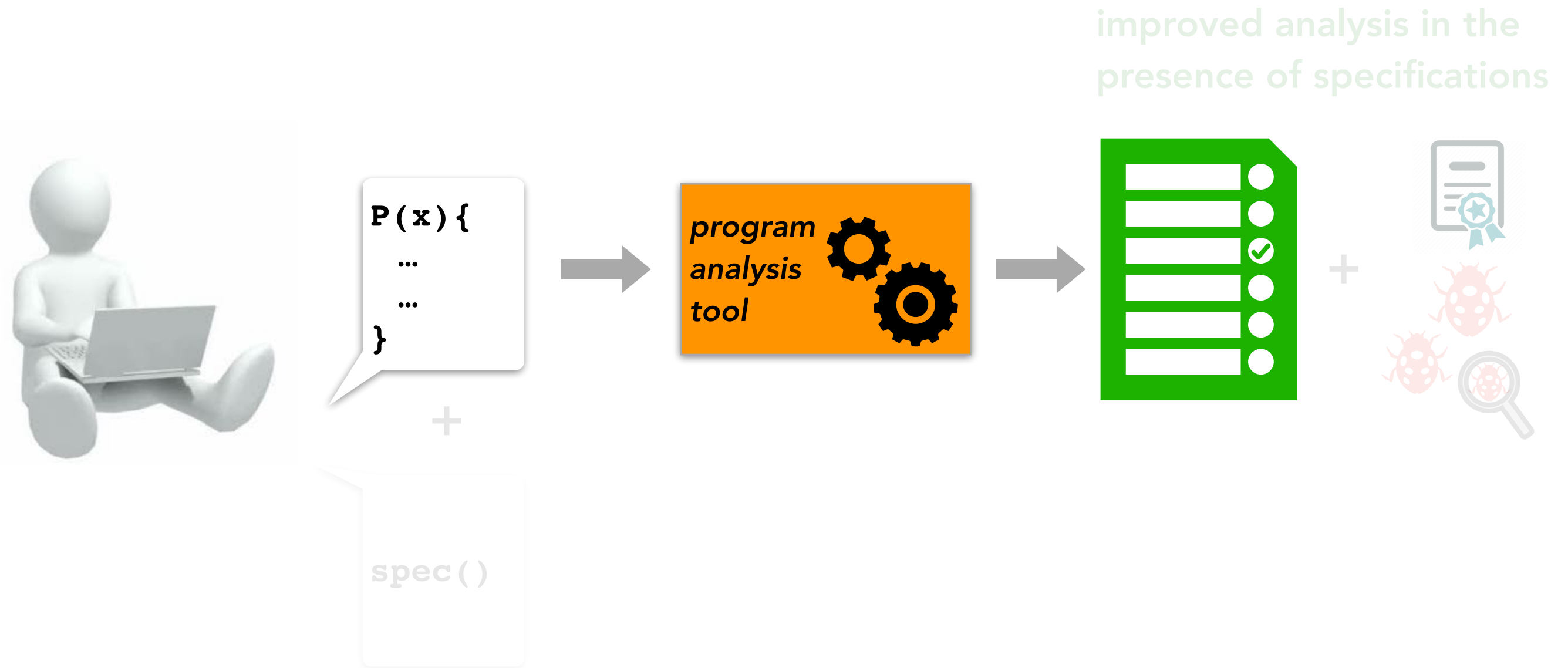


An Automated Analysis Scenario



**unfortunately, specifications
are sometimes unavailable**

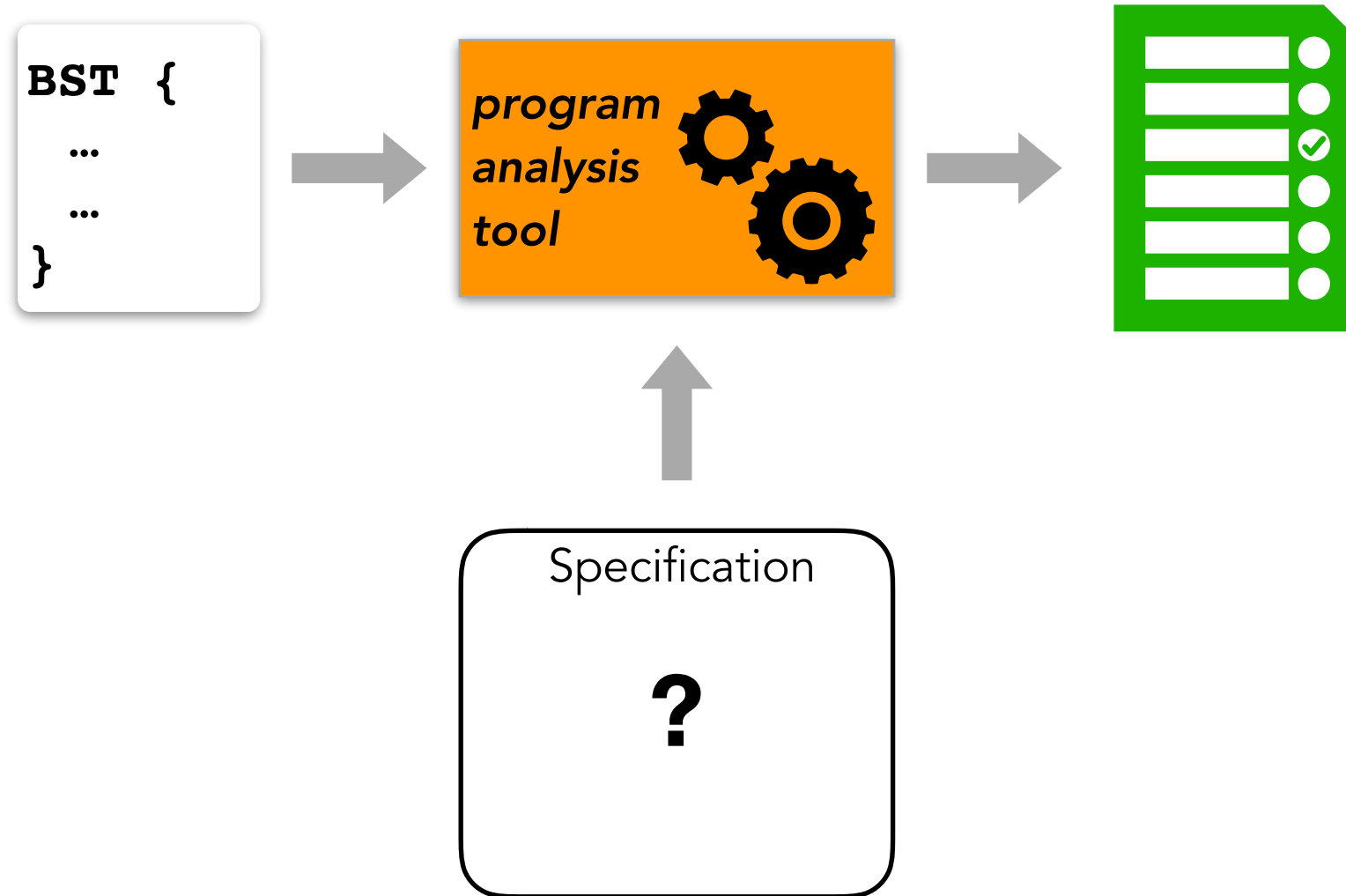
An Automated Analysis Scenario



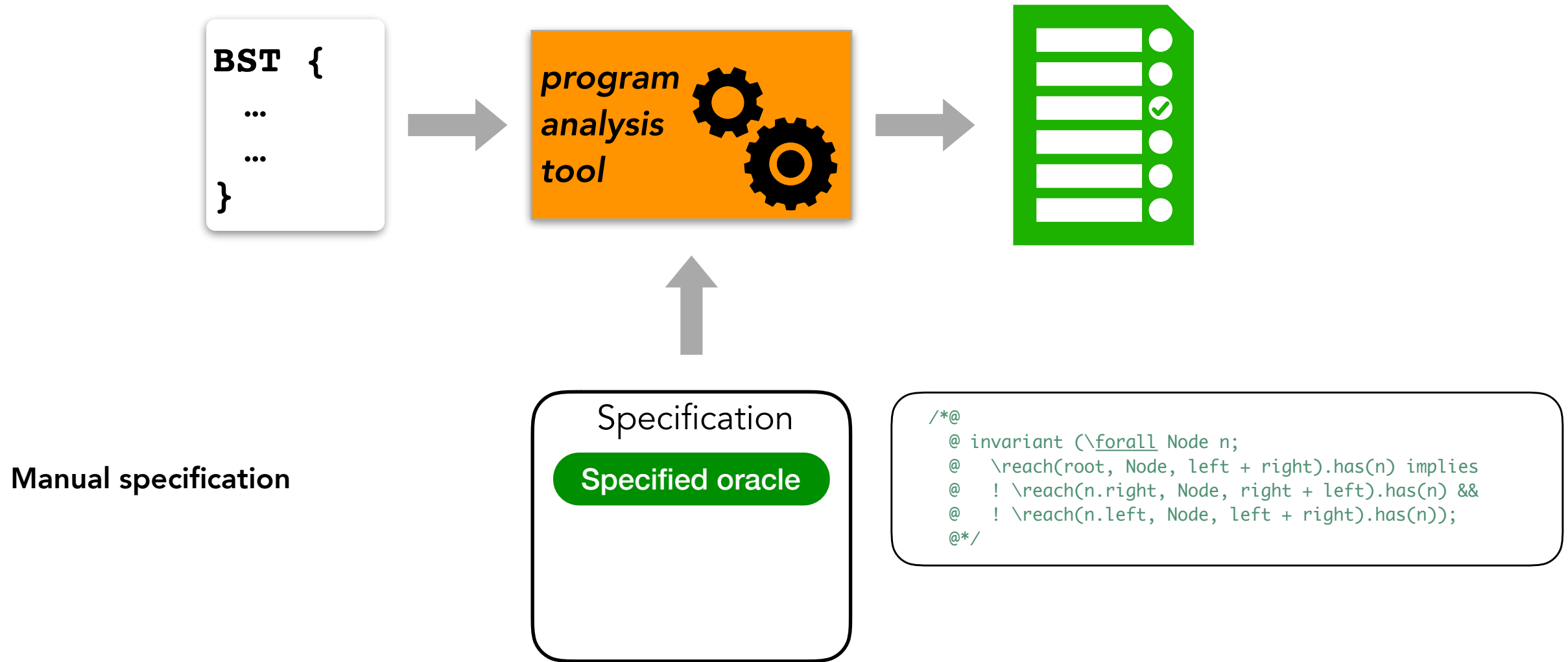
unfortunately, specifications
are sometimes unavailable

This emphasizes the relevance of the *oracle problem*

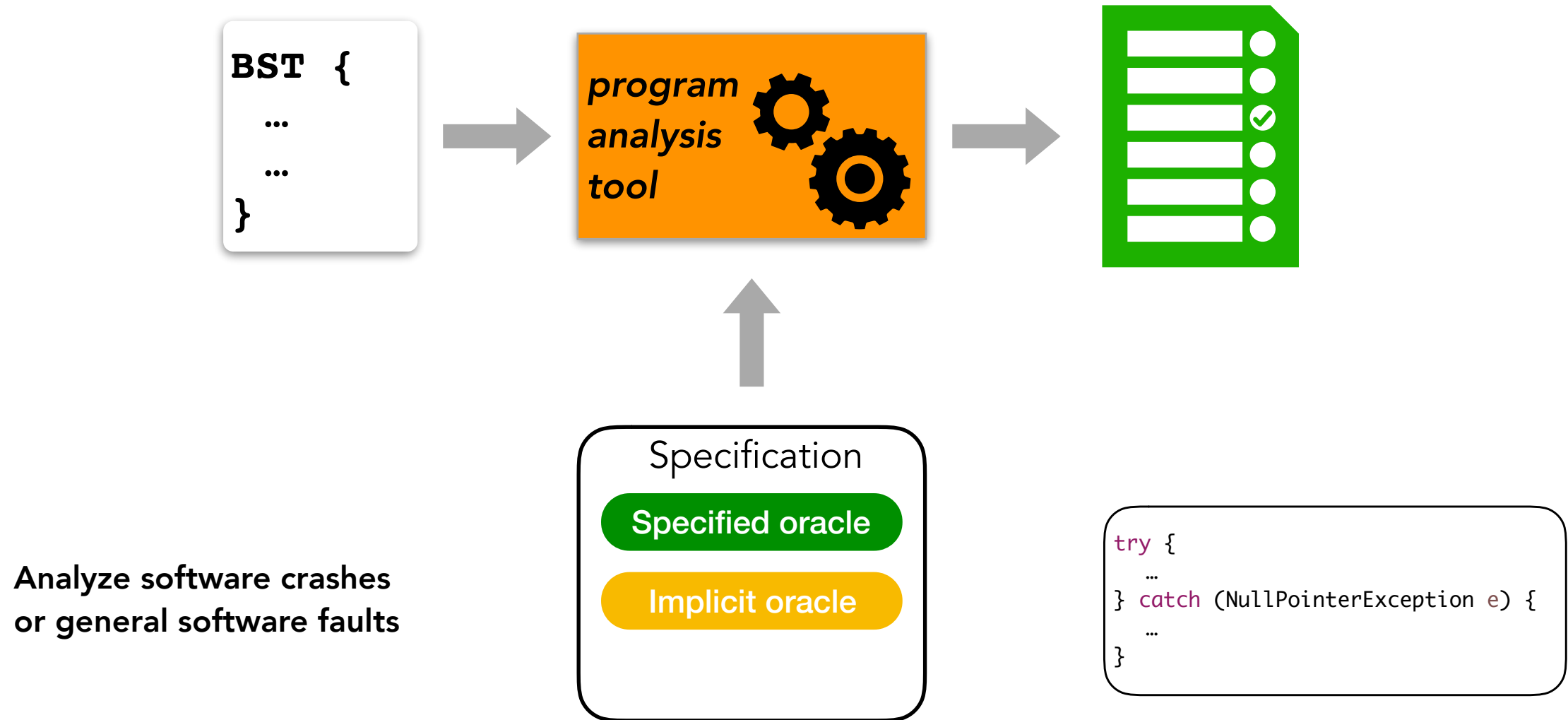
Approaches to the Oracle Problem



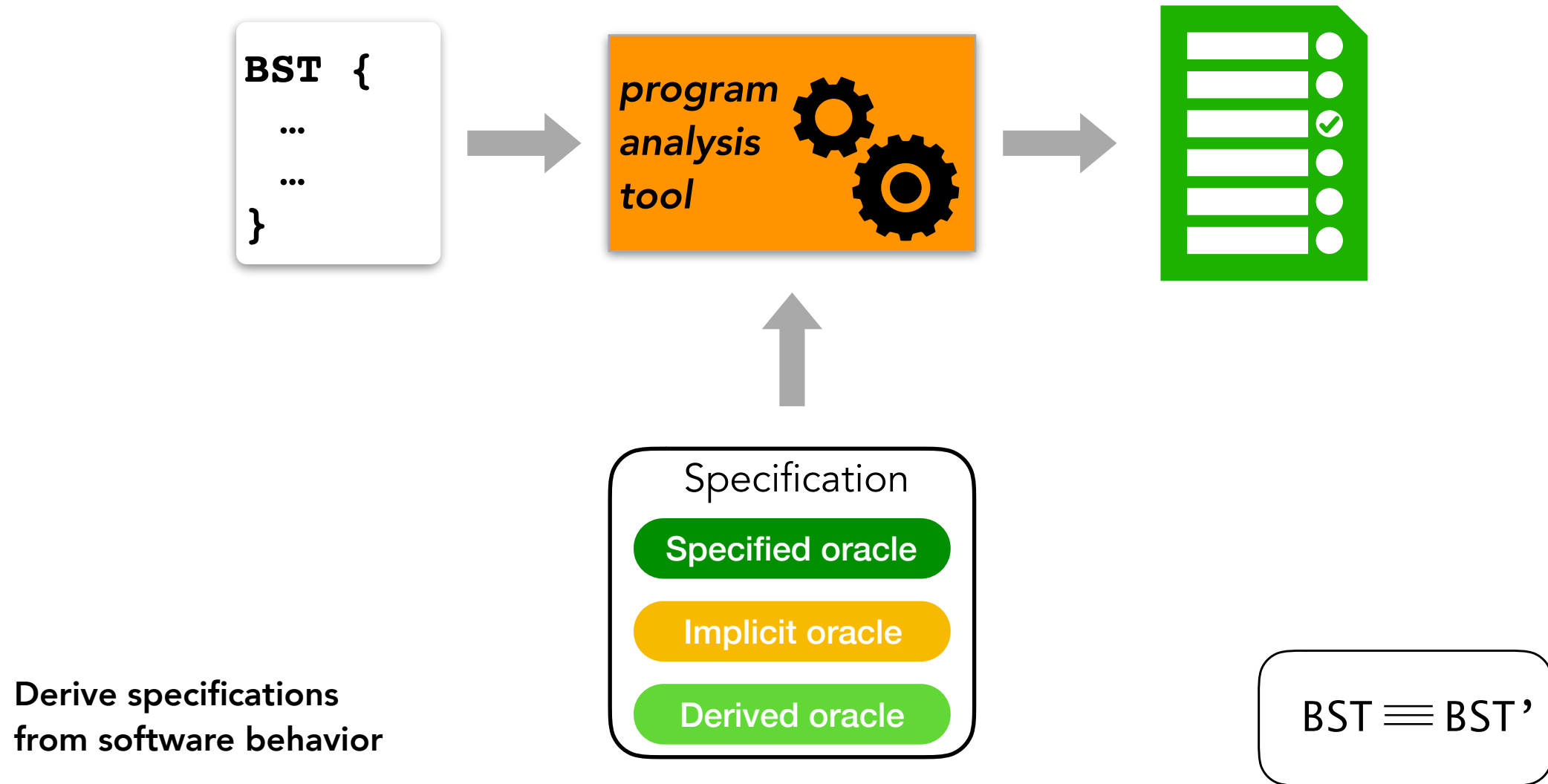
Approaches to the Oracle Problem



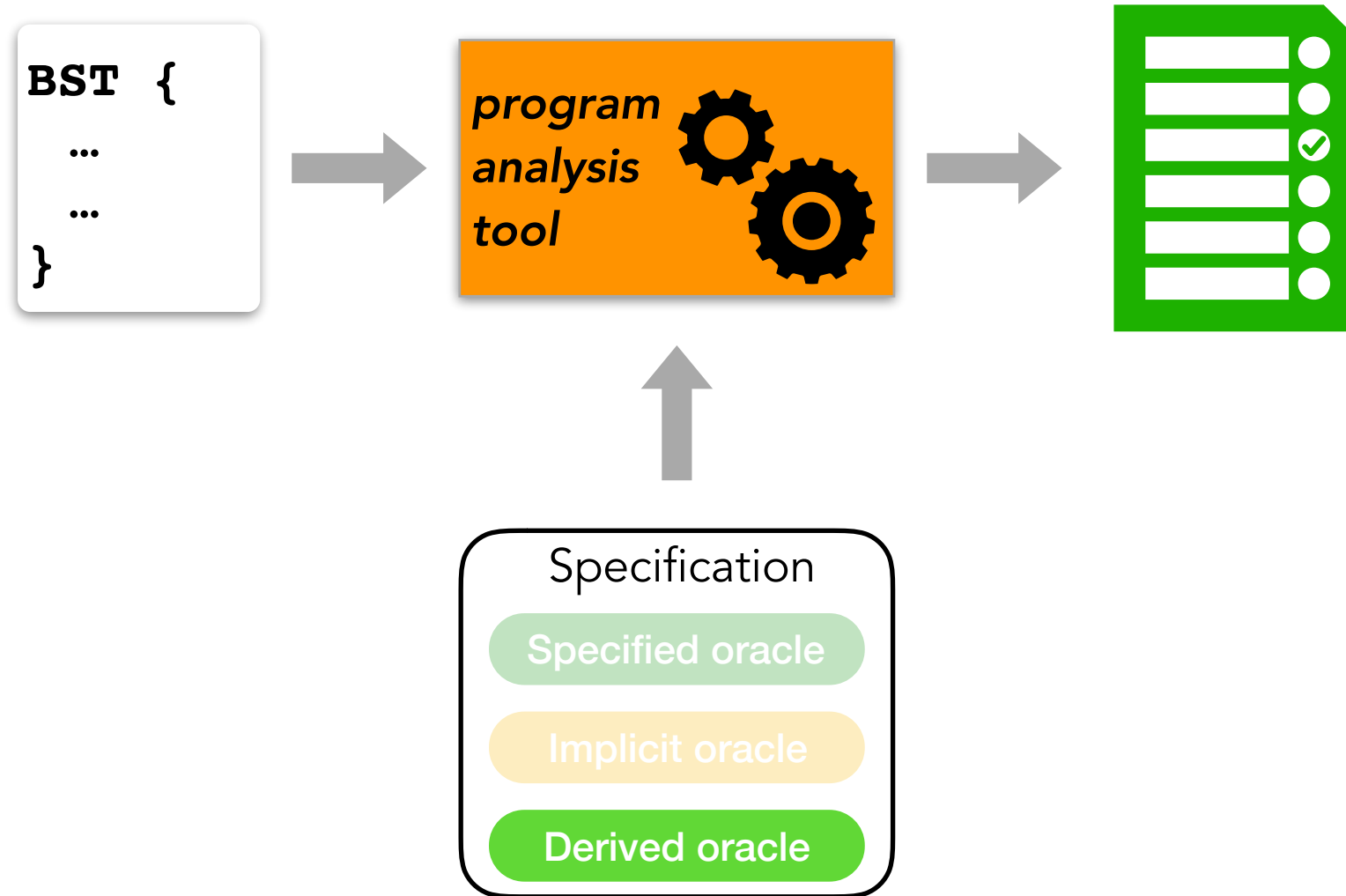
Approaches to the Oracle Problem



Approaches to the Oracle Problem



Approaches to the Oracle Problem



Invariants as Oracles

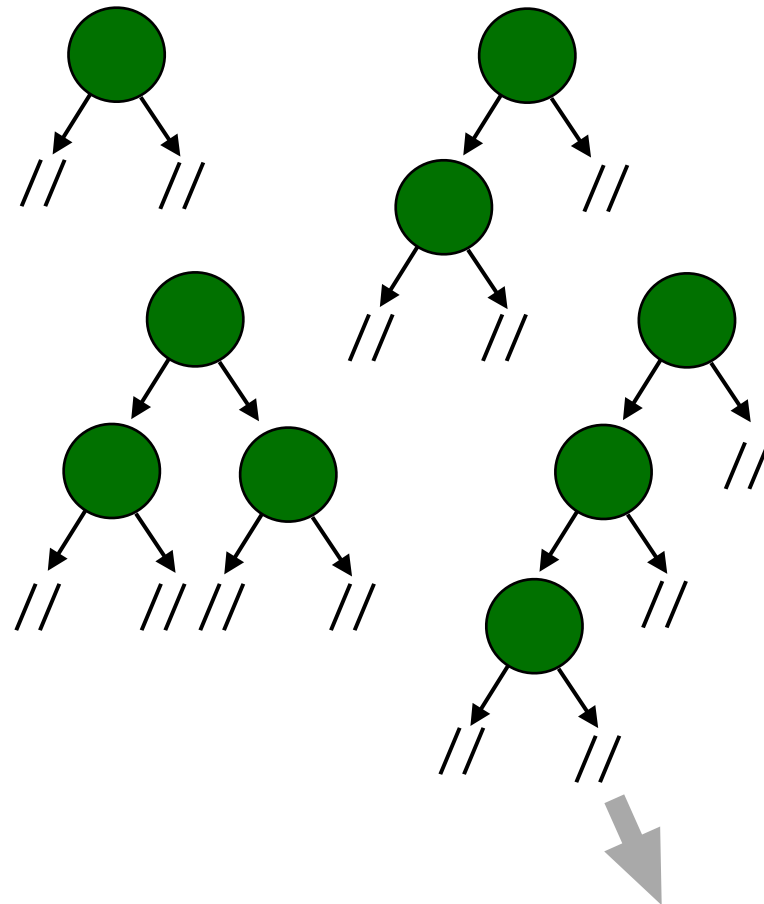
```
public class BST {  
    public void BST() {  
    }  
  
    public void  
    insert(...) {  
        ...  
    }  
  
    public void  
    remove() {  
        ...  
    }  
    ...  
}
```

```
/*@  
 @ invariant (\forall Node n;  
 @ \reach(root, Node, left + right).has(n) implies  
 @ ! \reach(n.right, Node, right + left).has(n) &&  
 @ ! \reach(n.left, Node, left + right).has(n));  
 @*/
```

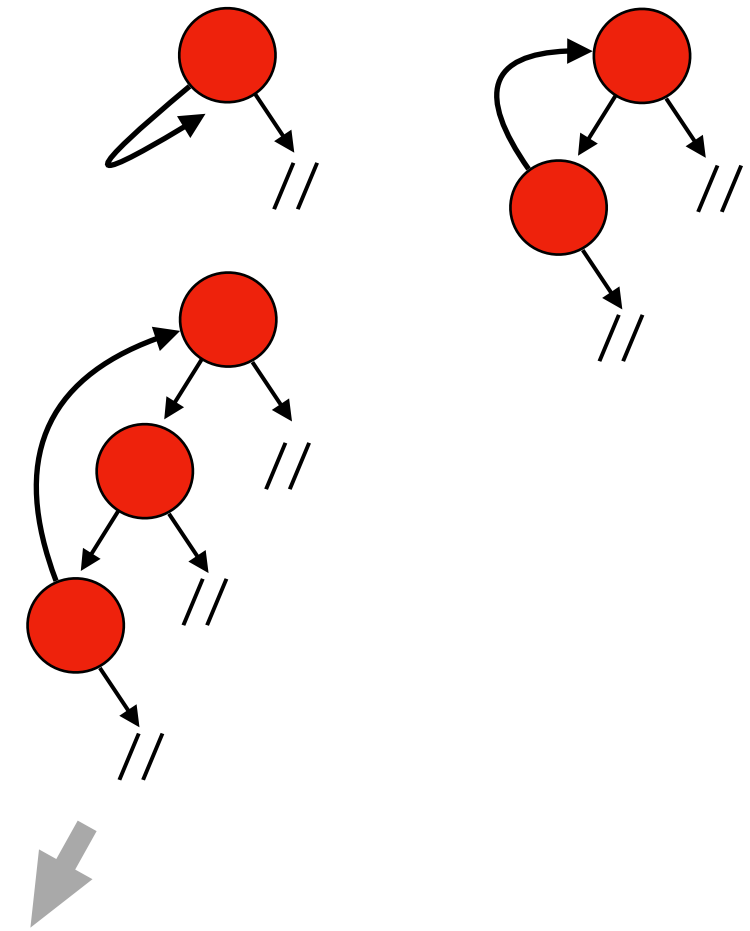
Invariants as Oracles

```
public class BST {  
    public void BST() {  
    }  
  
    public void insert(...) {  
        ...  
    }  
  
    public void remove() {  
        ...  
    }  
    ...  
}
```

correct program states



incorrect program states



```
/*@  
  @ invariant (\forall Node n;  
  @   \reach(root, Node, left + right).has(n) implies  
  @   ! \reach(n.right, Node, right + left).has(n) &&  
  @   ! \reach(n.left, Node, left + right).has(n));  
  @*/
```

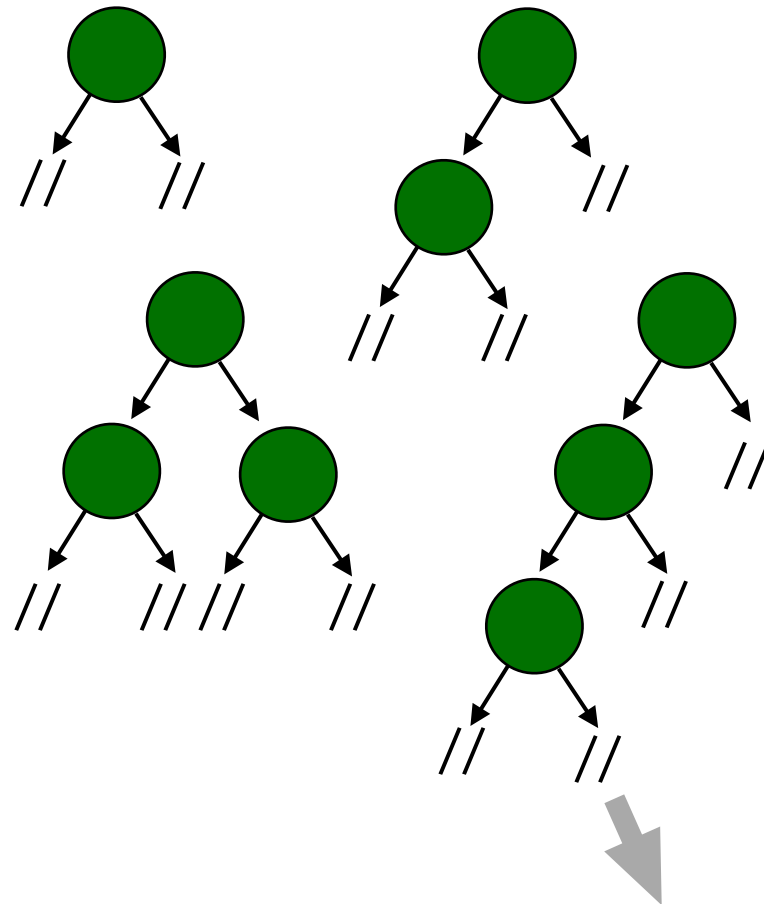
✓ return true

✗ return false

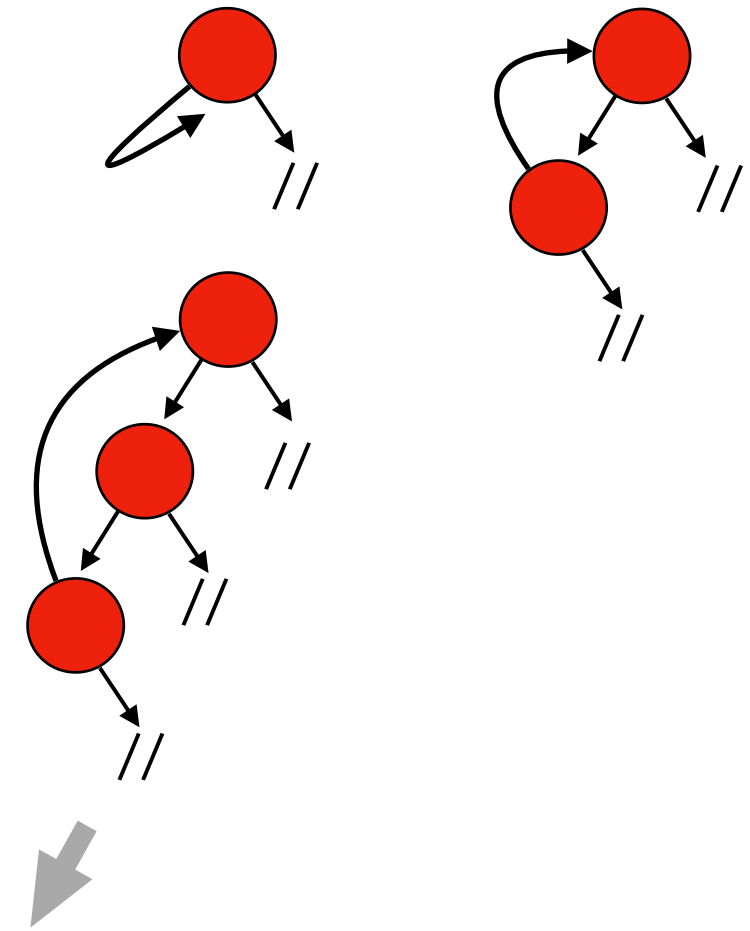
Invariants as Oracles

```
public class BST {  
    public void BST() {  
    }  
  
    public void insert(...) {  
        ...  
    }  
  
    public void remove() {  
        ...  
    }  
    ...  
}
```

correct program states



incorrect program states



✓ return true

✗ return false

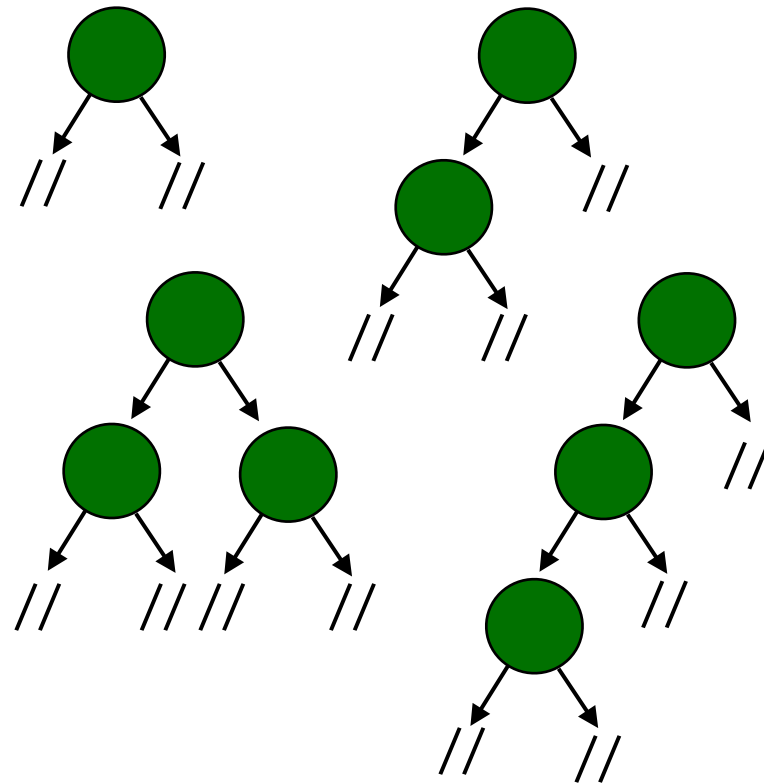
Invariants as Oracles

```
public class BST {  
    public void BST() {  
    }  
  
    public void insert(...) {  
        ...  
    }  
  
    public void remove() {  
        ...  
    }  
    ...  
}
```

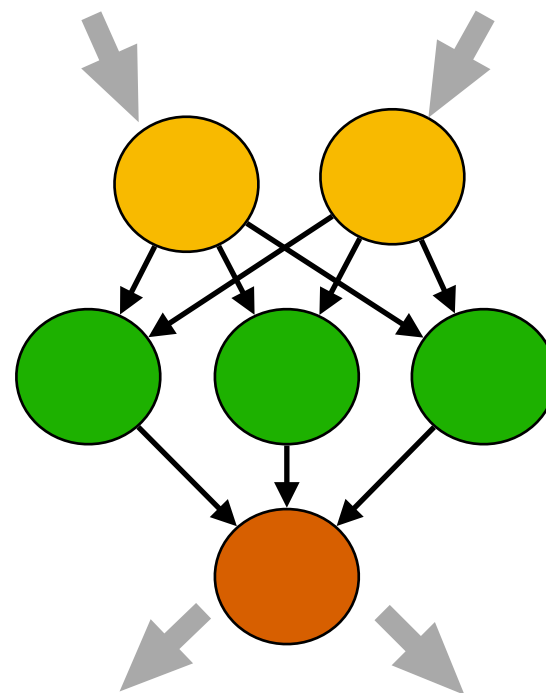
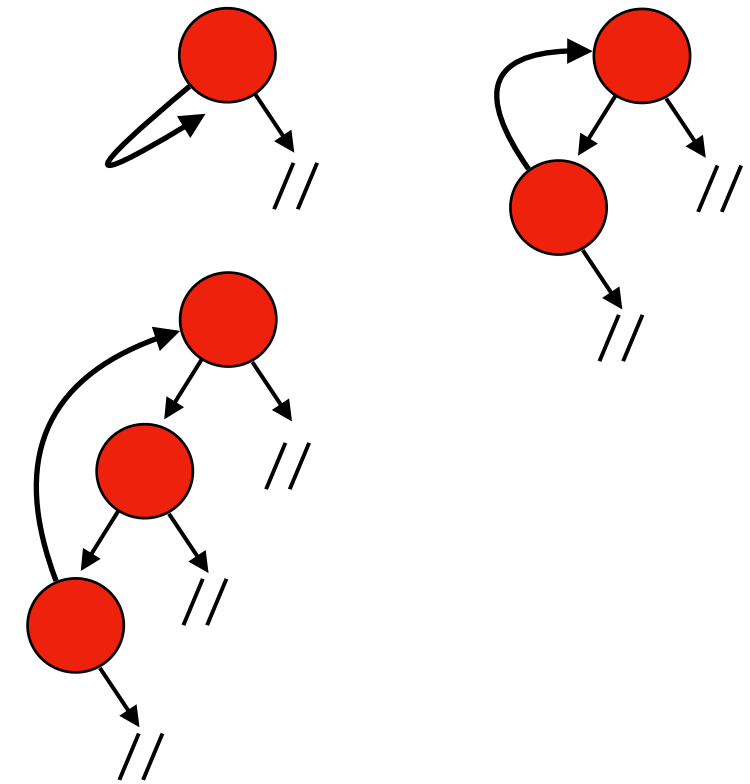
Train a machine learning classifier in order to be used as a test oracle



correct program states



incorrect program states

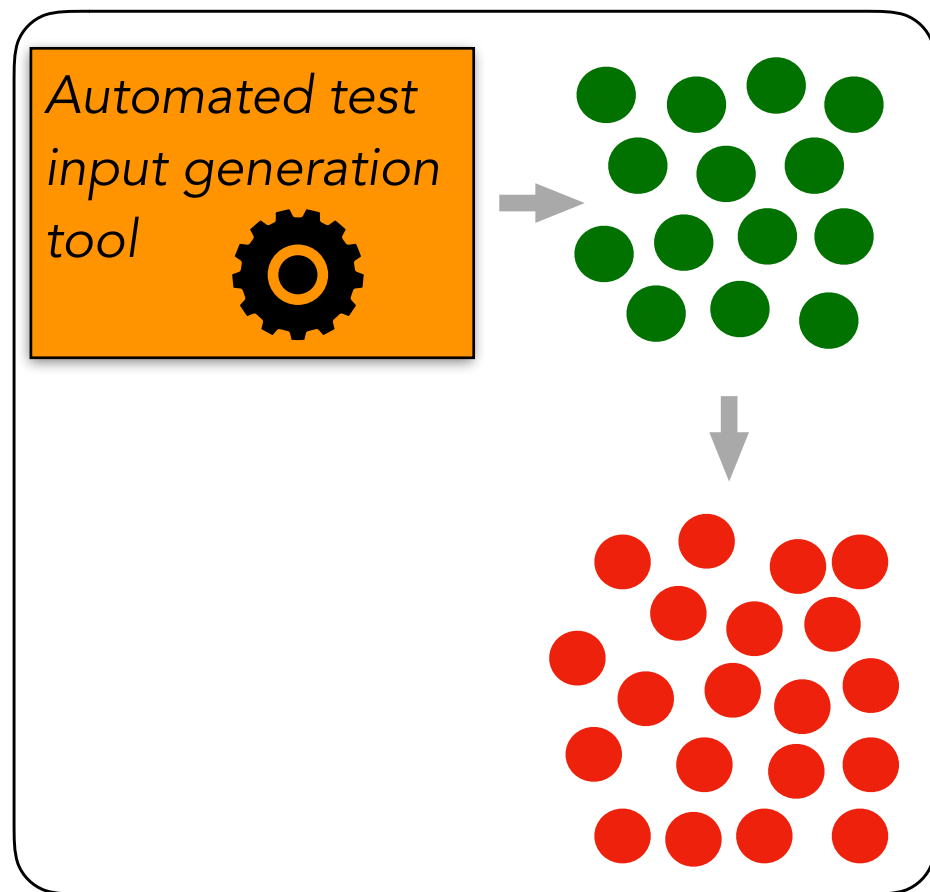


✓ return true

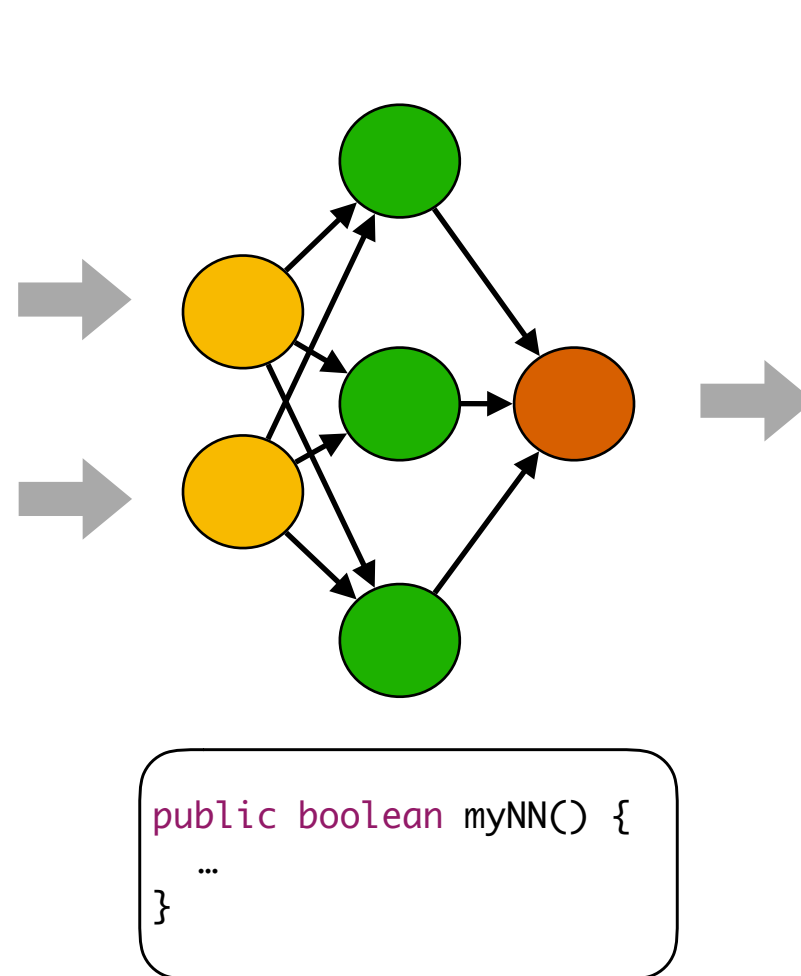
✗ return false

An Overview of the Approach

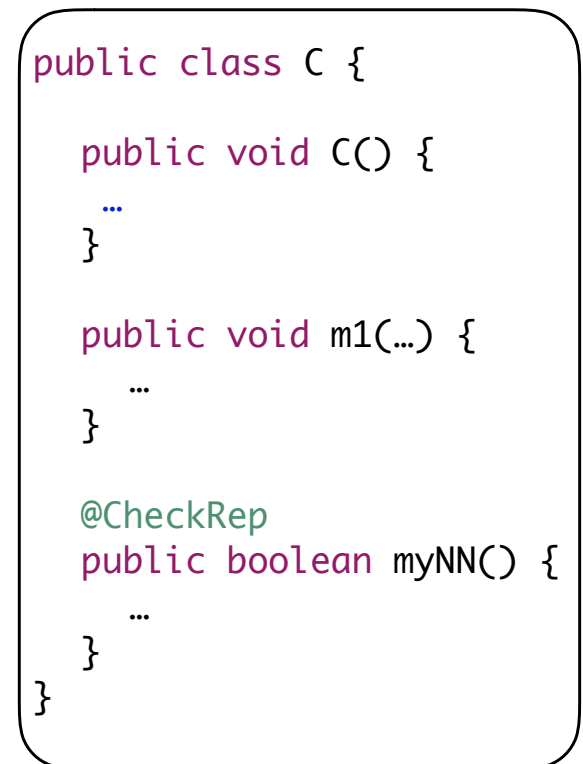
Instance Generation Mechanism



Neural Network Training



Runtime Checking



Instances Generation Mechanism

Positive instances: generated using assumed-correct building routines

```
public class C {  
    public void C() {  
        ...  
    }  
    public void m1() {  
        ...  
    }  
    public void m2() {  
        ...  
    }  
    ...  
}
```


Instances Generation Mechanism

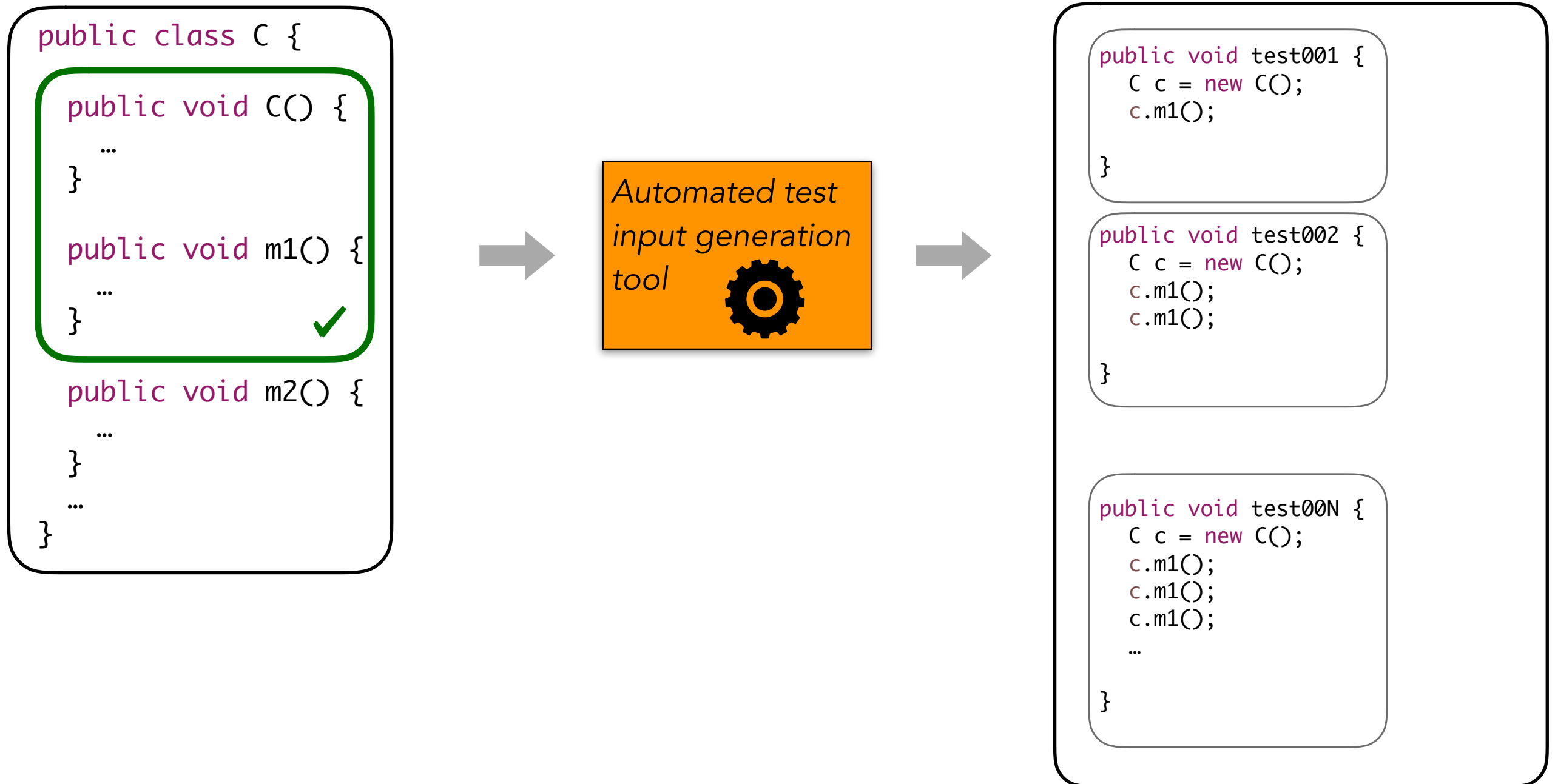
Positive instances: generated using assumed-correct building routines

Identification of assumed-correct builders

```
public class C {  
    public void C() {  
        ...  
    }  
    public void m1() {  
        ...  
    }  
    public void m2() {  
        ...  
    }  
    ...  
}
```

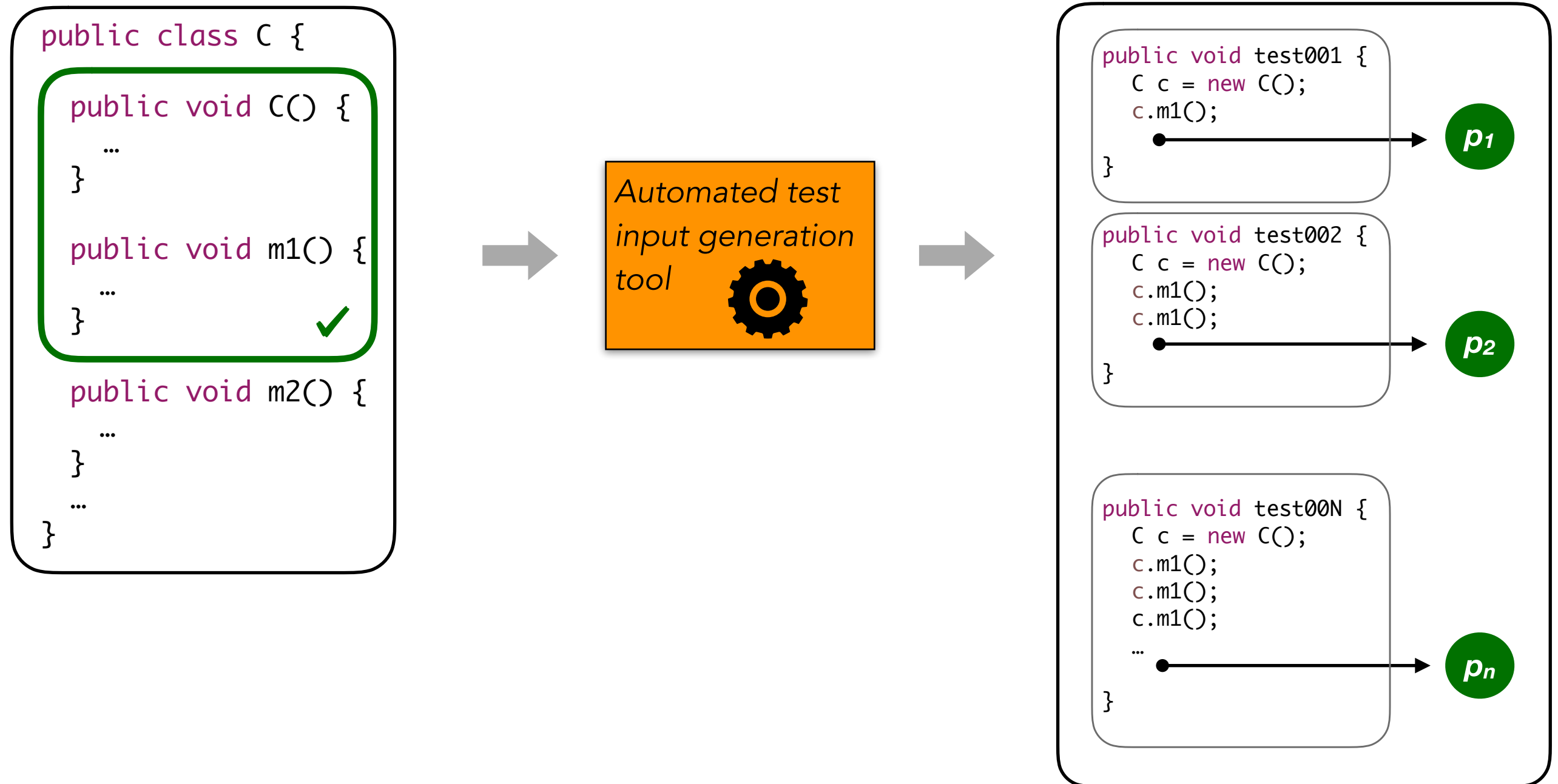
Instances Generation Mechanism

Positive instances: generated using assumed-correct building routines



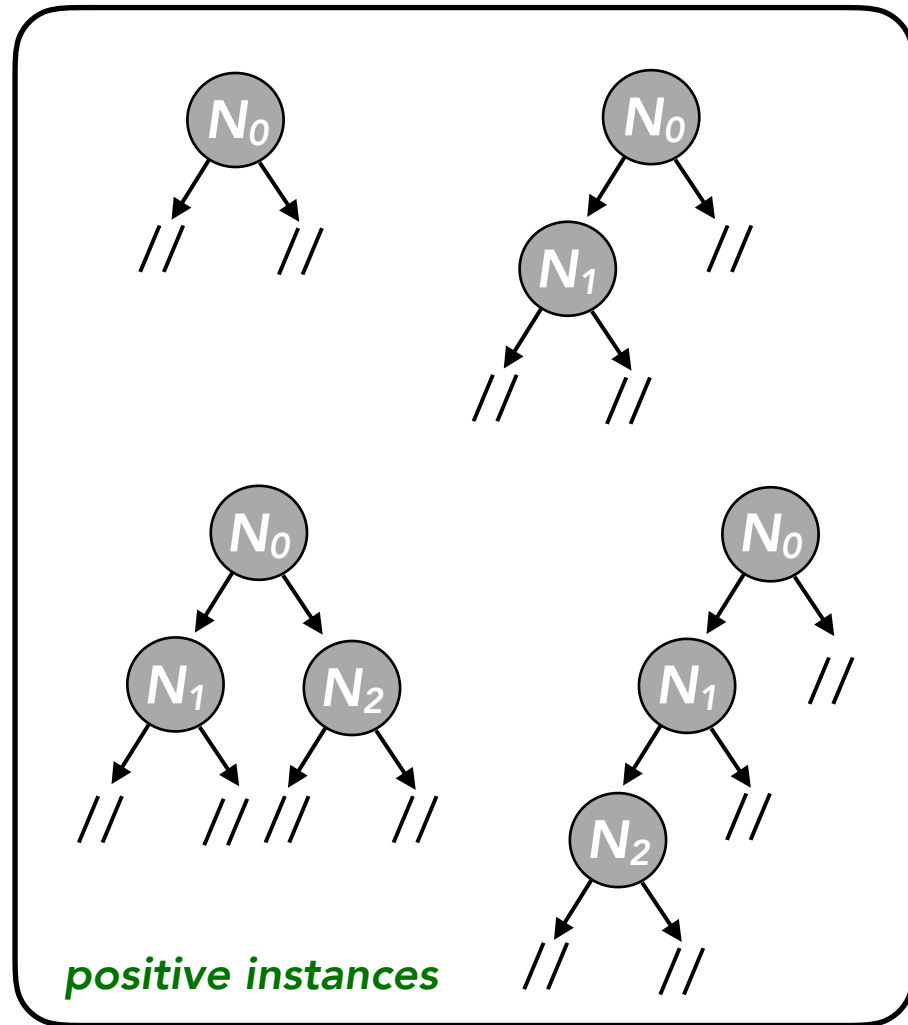
Instances Generation Mechanism

Positive instances: generated using assumed-correct building routines

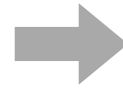
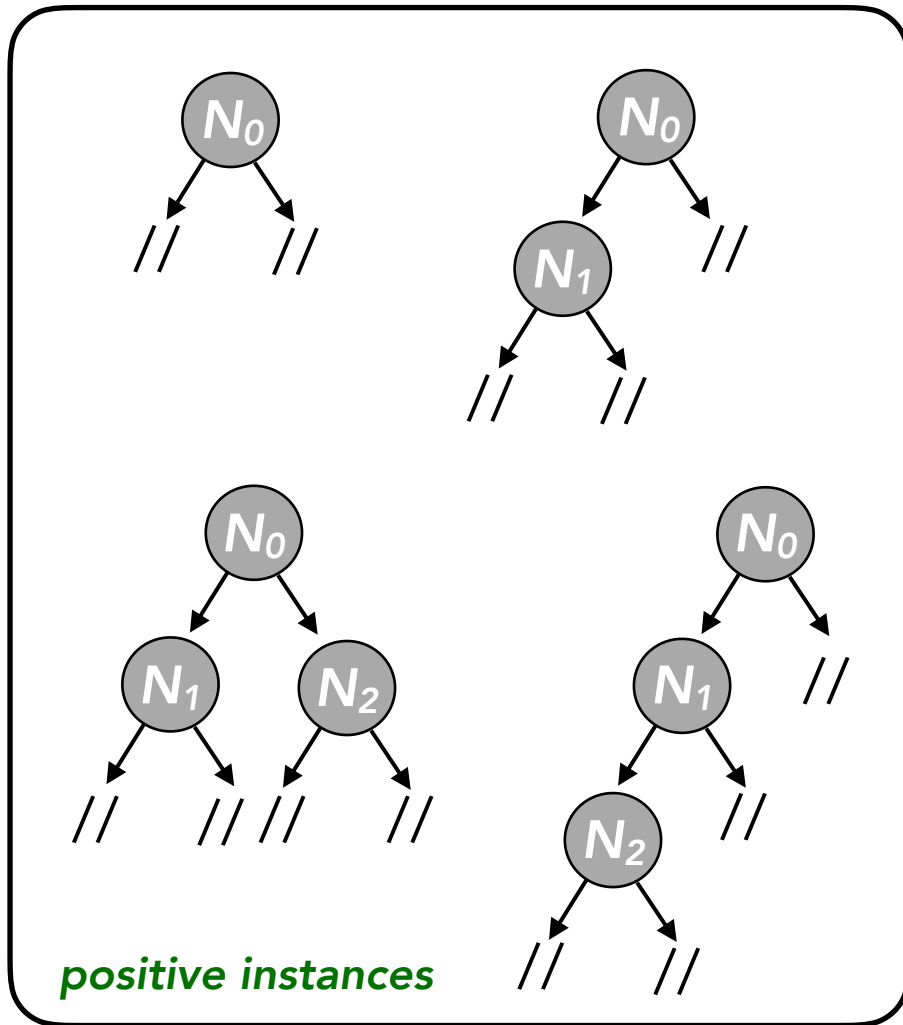


Negative instances: build a *relational summary* from valid instances, and mutate valid objects off the summary

Negative instances: build a *relational summary* from valid instances, and mutate valid objects off the summary



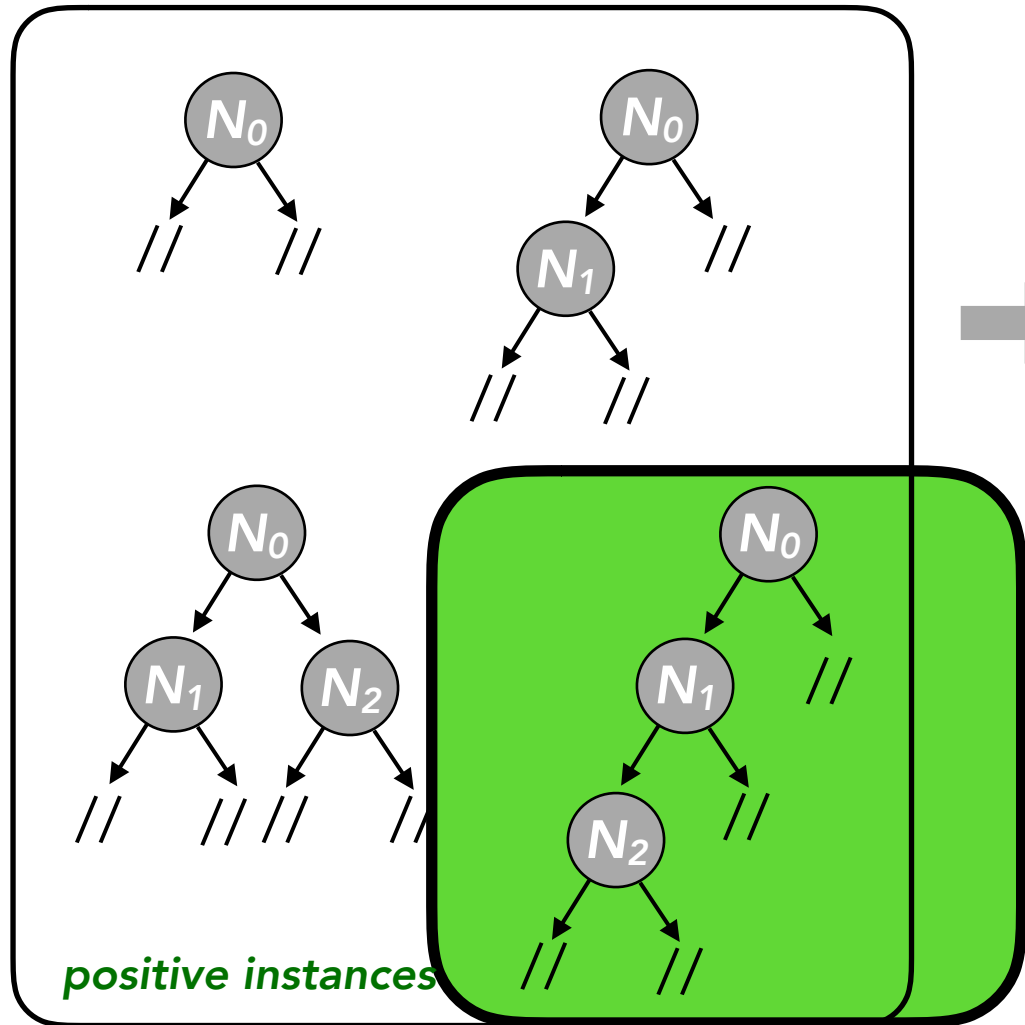
Negative instances: build a *relational summary* from valid instances, and mutate valid objects off the summary



<i>left</i>	<i>null</i>	<i>N₀</i>	<i>N₁</i>	<i>N₂</i>	<i>right</i>	<i>null</i>	<i>N₀</i>	<i>N₁</i>	<i>N₂</i>
<i>N₀</i>					<i>N₀</i>				
<i>N₁</i>					<i>N₁</i>				
<i>N₂</i>					<i>N₂</i>				

relational summary

Negative instances: build a *relational summary* from valid instances, and mutate valid objects off the summary

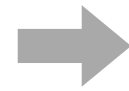
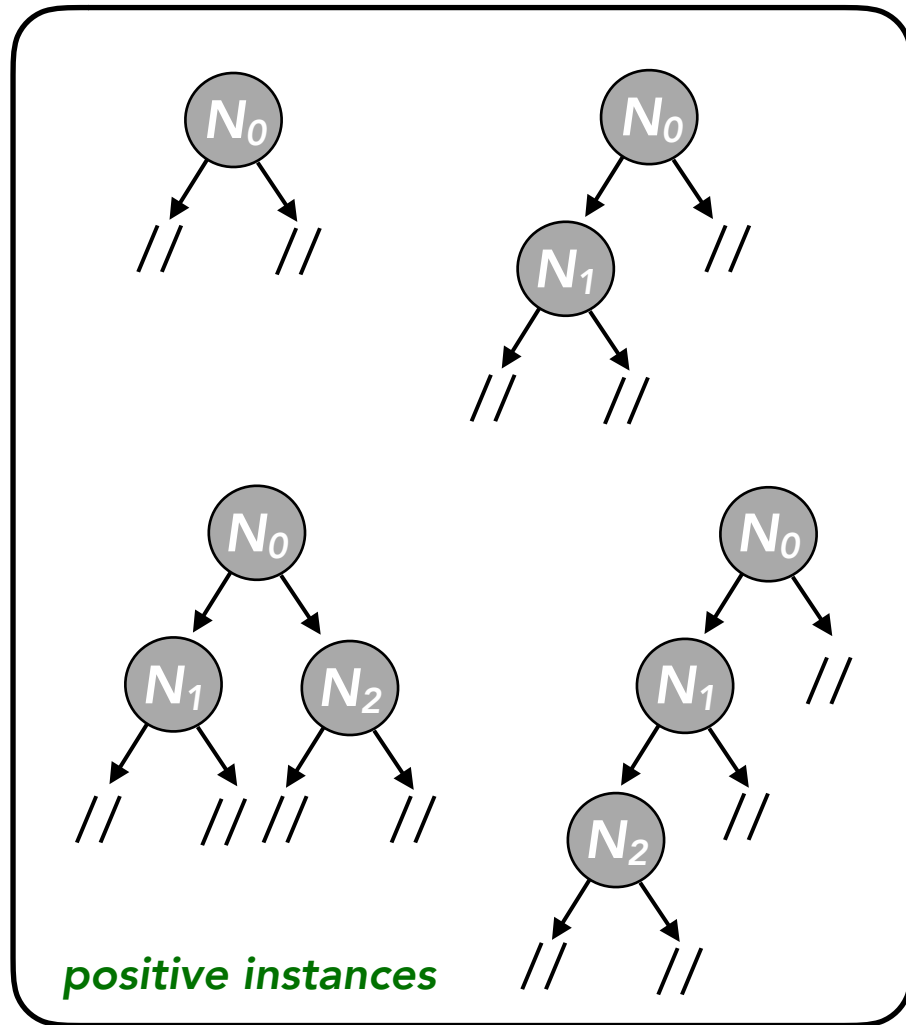


relational summary

<i>left</i>	<i>null</i>	N_0	N_1	N_2
N_0	✓		✓	
N_1	✓			✓
N_2	✓			

<i>right</i>	<i>null</i>	N_0	N_1	N_2
N_0	✓			✓
N_1	✓			
N_2	✓			

Negative instances: build a *relational summary* from valid instances, and mutate valid objects off the summary



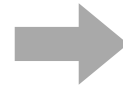
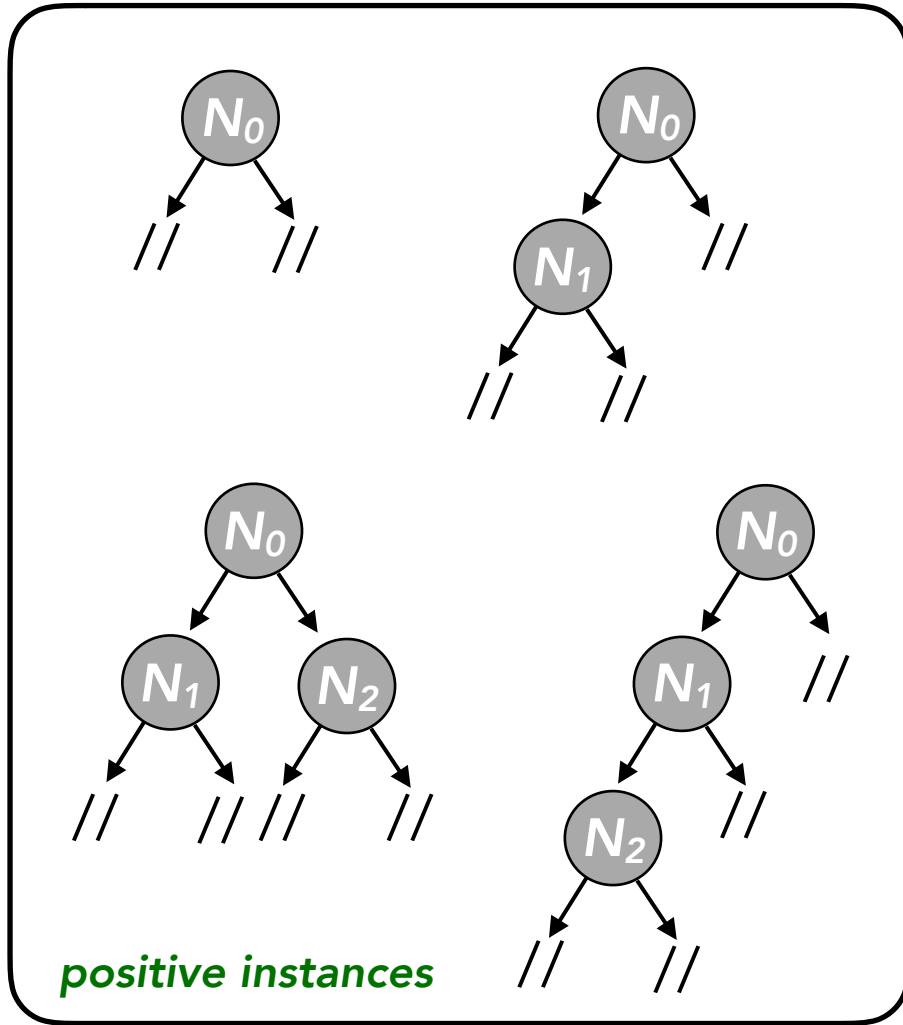
<i>left</i>	<i>null</i>	N_0	N_1	N_2
N_0	✓		✓	
N_1	✓			✓
N_2	✓			

<i>right</i>	<i>null</i>	N_0	N_1	N_2
N_0	✓			✓
N_1	✓			
N_2	✓			

relational summary

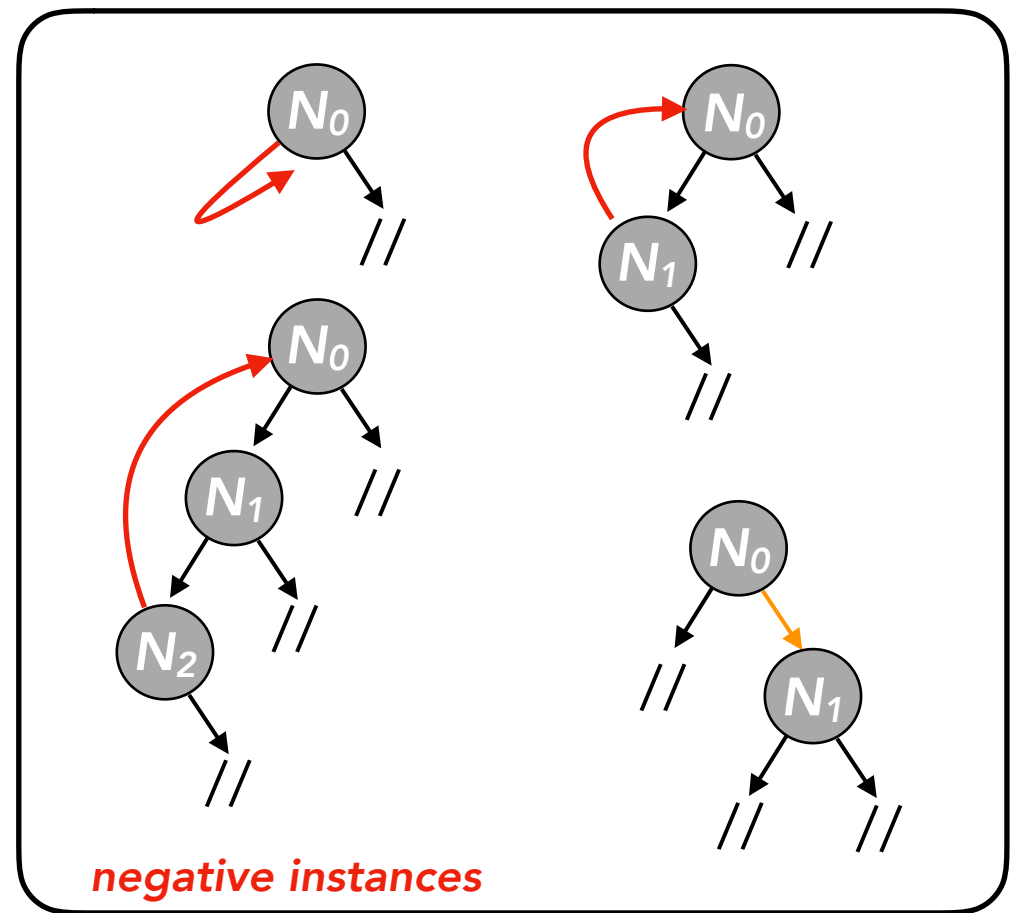


Negative instances: build a *relational summary* from valid instances, and mutate valid objects off the summary

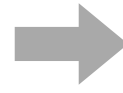
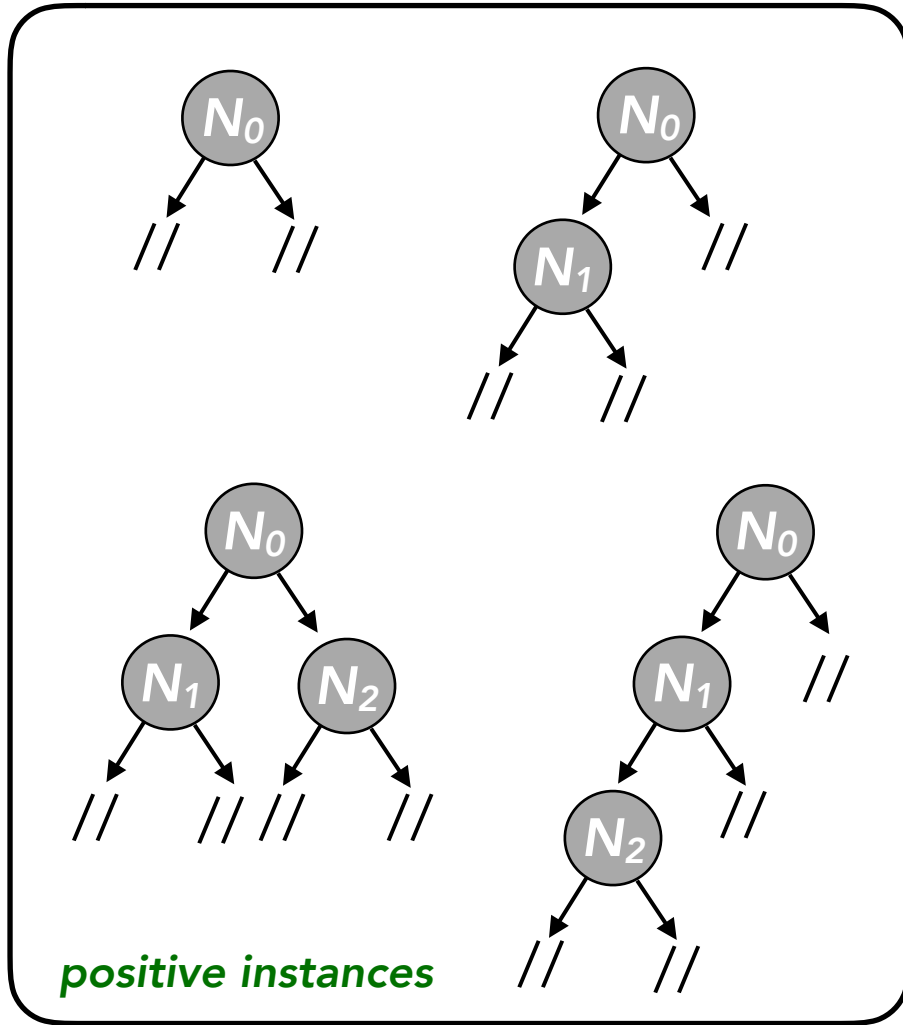


<i>left</i>	<i>null</i>	N_0	N_1	N_2	<i>right</i>	<i>null</i>	N_0	N_1	N_2
N_0	✓	✗	✓		N_0	✓		✗	✓
N_1	✓	✗		✓	N_1	✓			
N_2	✓	✗			N_2	✓			

relational summary

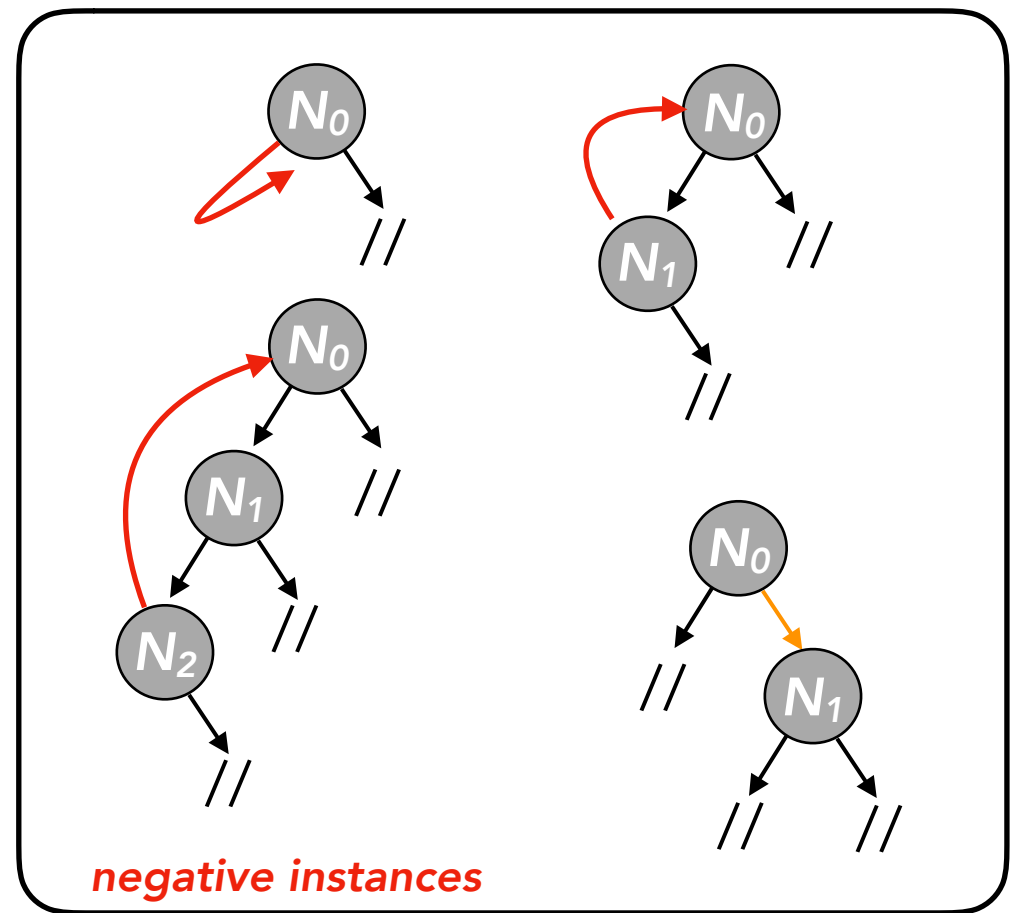


Negative instances: build a *relational summary* from valid instances, and mutate valid objects off the summary



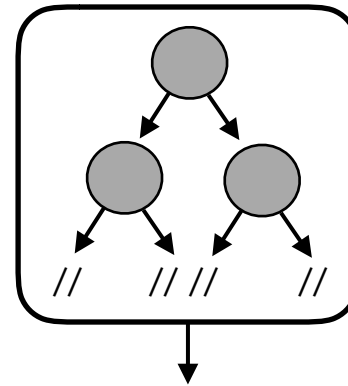
<i>left</i>	<i>null</i>	N_0	N_1	N_2	<i>right</i>	<i>null</i>	N_0	N_1	N_2
N_0	✓	✗	✓		N_0	✓		✗	✓
N_1	✓	✗		✓	N_1	✓			
N_2	✓	✗			N_2	✓			

relational summary

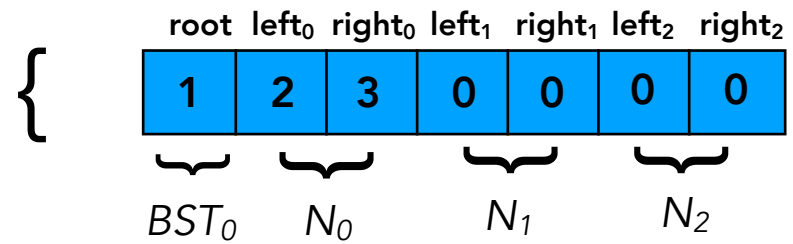


False negative instances may be generated

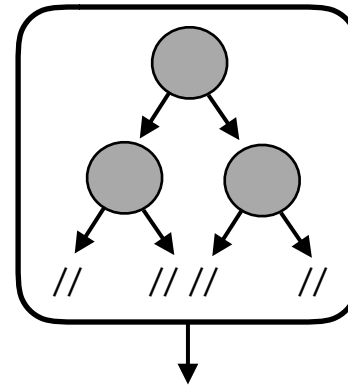
Vector Representation and Network Architecture



*Vector representation
using the Korat approach*



Vector Representation and Network Architecture



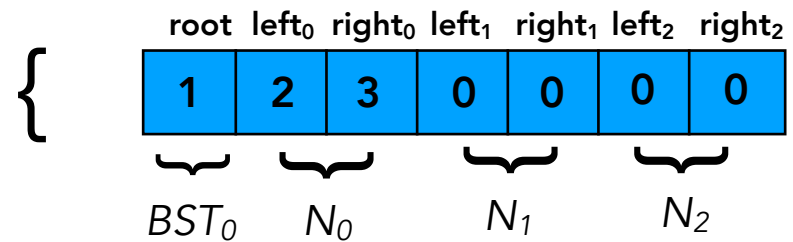
requires fixing maximum structure size

$k = 3$

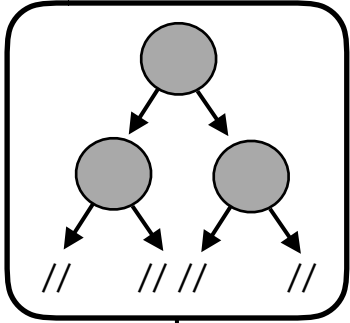


$int: \{0, 1, 2, 3\}$
 $Node: \{null, N_0, N_1, N_2\}$

*Vector representation
using the Korat approach*



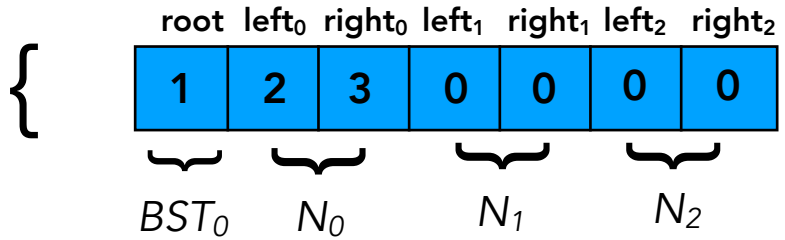
Vector Representation and Network Architecture



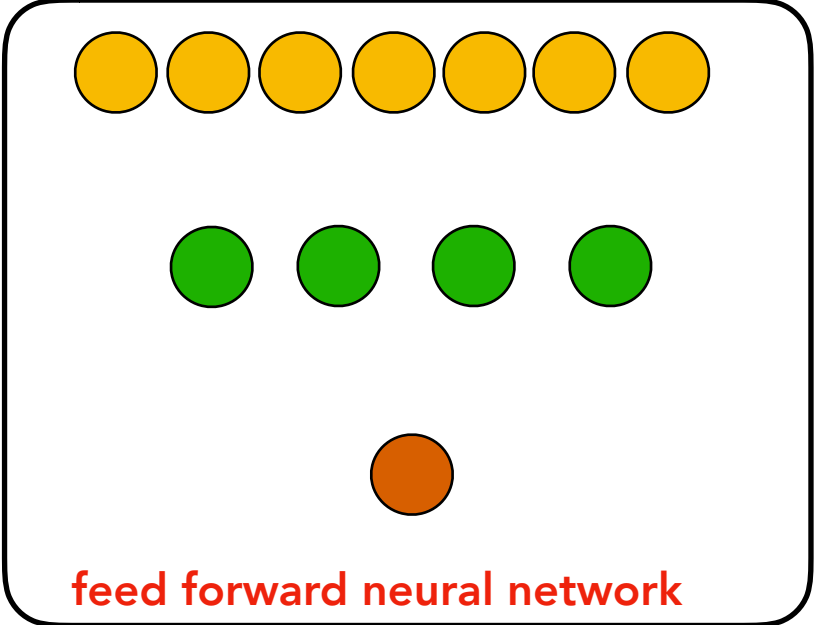
requires fixing maximum structure size

$k = 3 \rightarrow$ $int: \{0, 1, 2, 3\}$
 $Node: \{null, N_0, N_1, N_2\}$

Vector representation using the Korat approach



input layer of fixed size



feed forward neural network



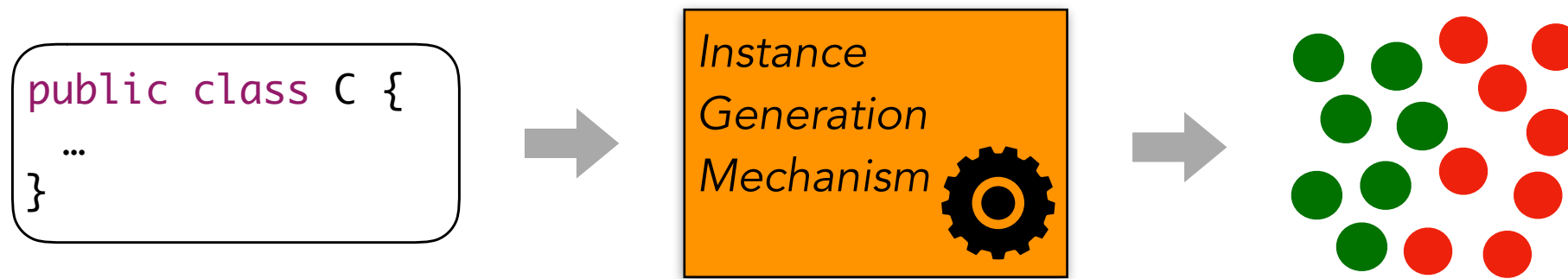
hidden layer

output layer of fixed size

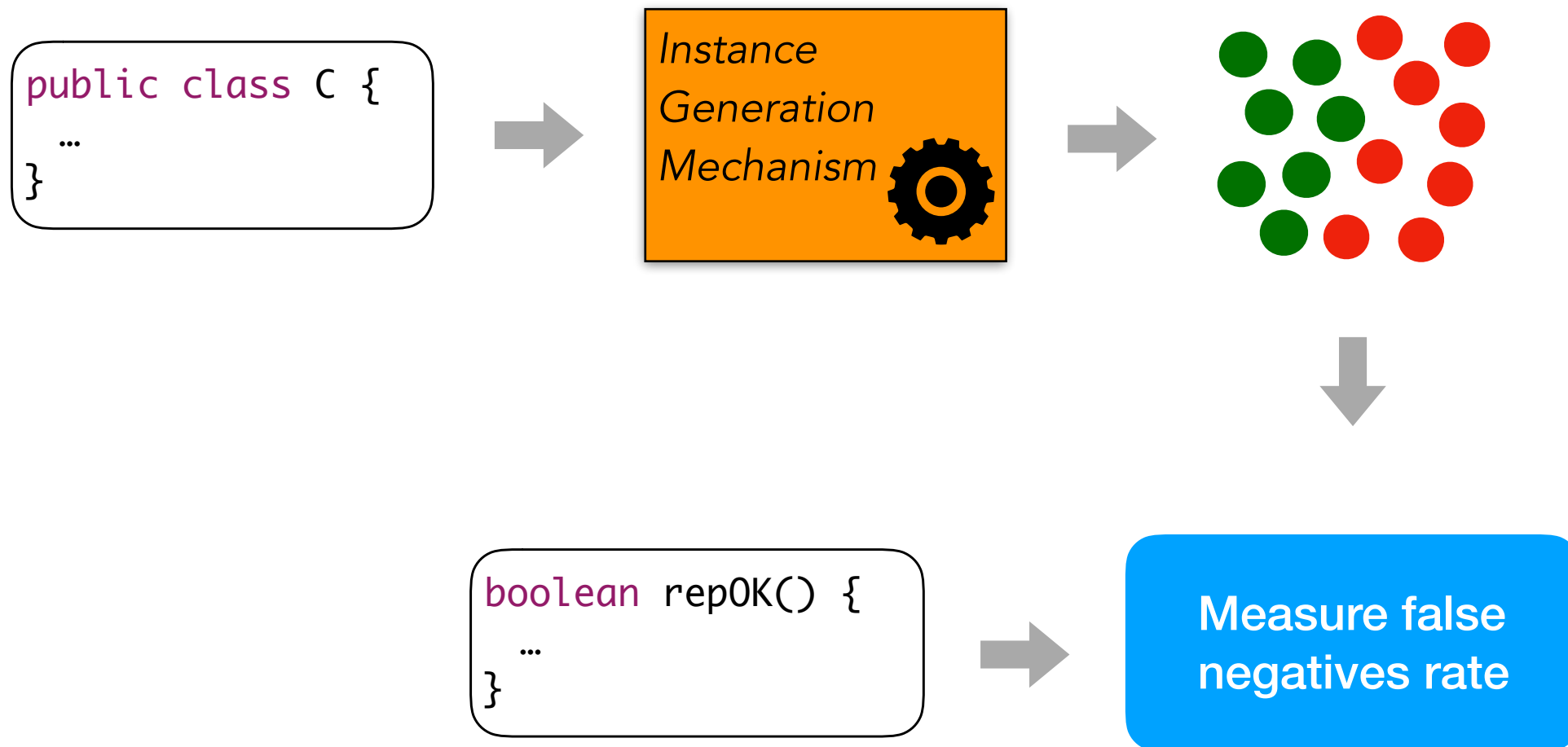
Evaluation

- *RQ1: is the mechanism for negative instance generation effective?*
- *RQ2: are neural networks precise in classifying valid/invalid data structure objects?*
- *RQ3: can automated analysis be improved by the use of NN invariants?*

RQ1: Measure of False Negatives Rate



RQ1: Measure of False Negatives Rate



RQ1: Measure of False Negatives Rate

Singly Sorted List

bound	negative instances	false negatives rate
3	75	6,67 %
4	455	4,18 %
5	2418	2,81 %
6	10306	2,32 %
7	33949	2,24 %
8	83131	2,36 %

Binary Search Tree

bound	negative instances	false negatives rate
3	200	4,50 %
4	1098	3,19 %
5	5089	0,26 %
6	18445	1,98 %
7	50808	1,65 %
8	106663	1,59 %

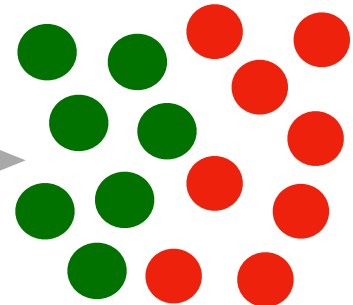
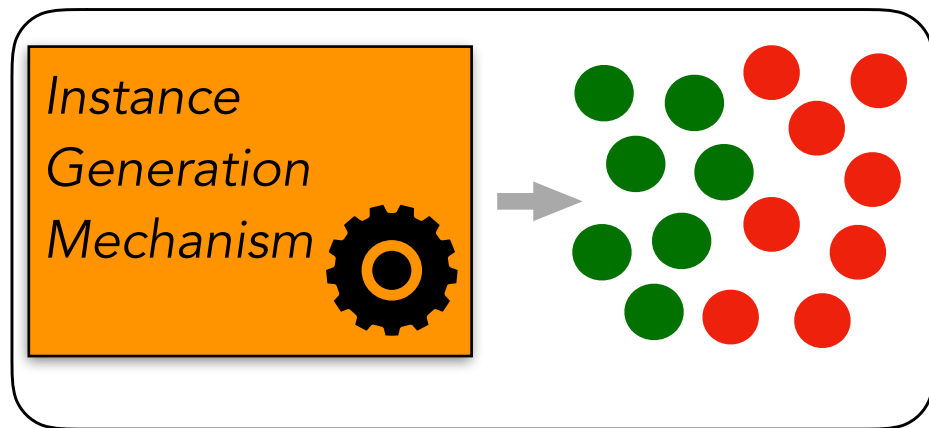
Red Black Tree

bound	negative instances	false negatives rate
3	144	5,56 %
4	604	3,31 %
5	2377	2,23 %
6	8535	1,53 %
7	26894	1,16 %
8	72099	0,96 %

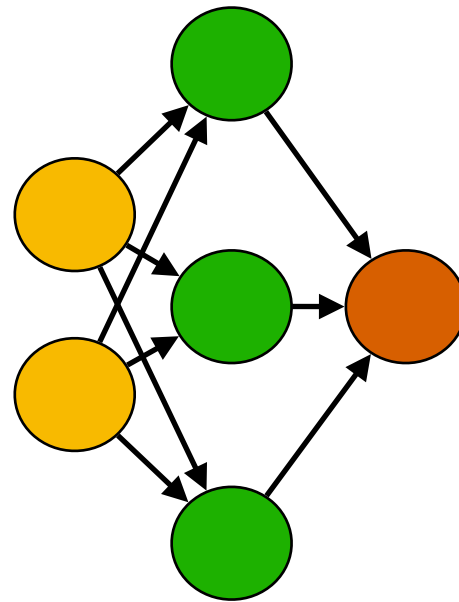
RQ2: Neural Networks Precision and Recall

Classifying Data Structure Objects

```
public class C {  
    ...  
}
```

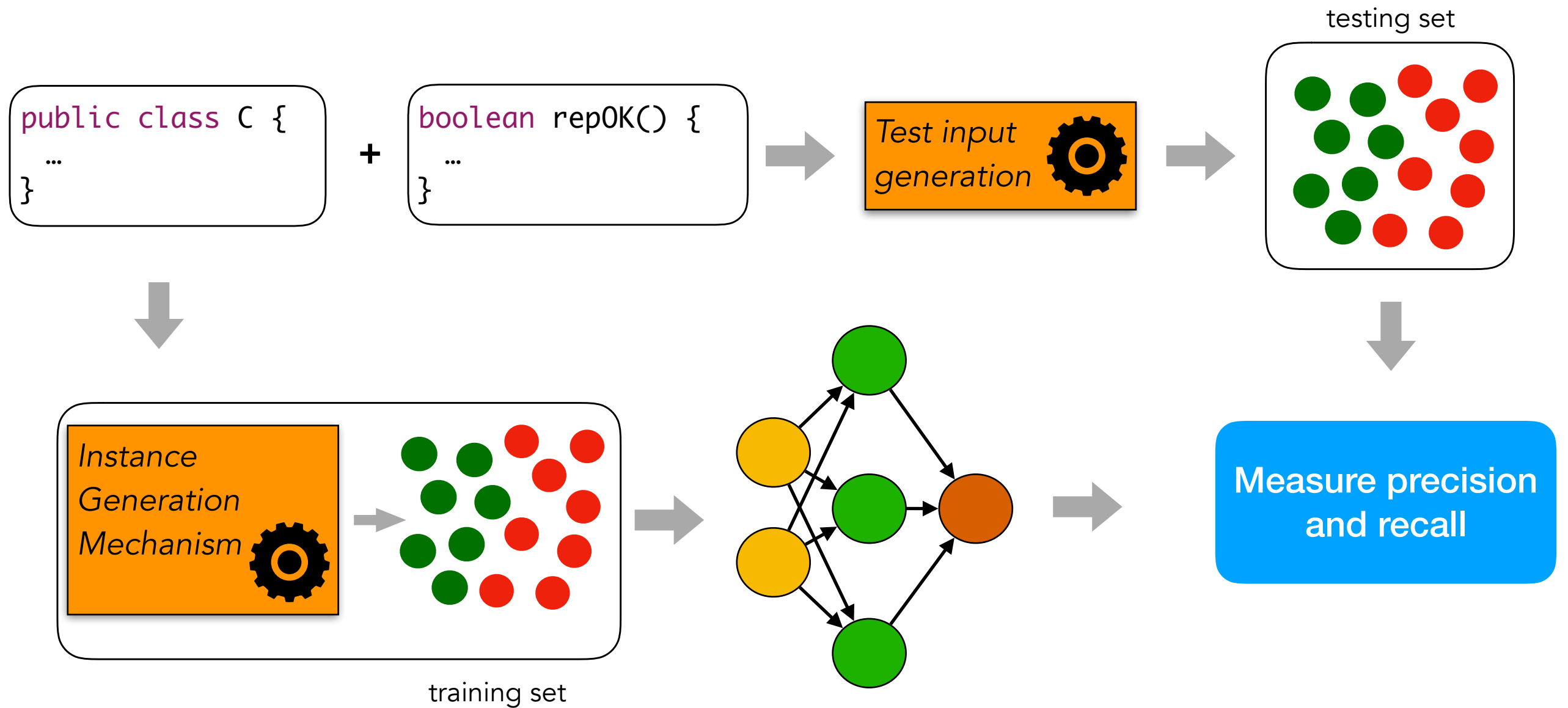


training set



RQ2: Neural Networks Precision and Recall

Classifying Data Structure Objects



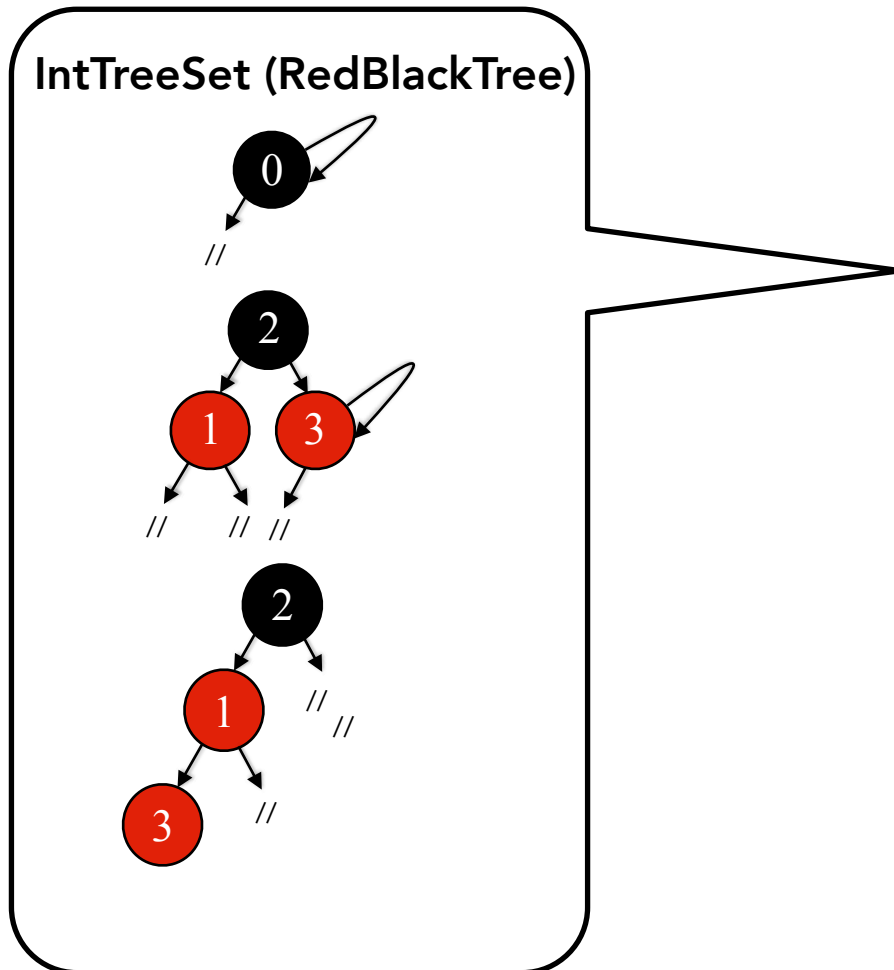
RQ2: Neural Networks Precision and Recall Classifying Data Structure Objects

	Training Set		Validation Set	Precision	Recall						
			✗ ✓								
SinglyLinkedList - 7	<table border="1"> <tr><td>33456</td></tr> <tr><td>7867</td></tr> </table>	33456	7867	✗ ✓	<table border="1"> <tr><td>725966</td><td>7</td></tr> <tr><td>0</td><td>55897</td></tr> </table>	725966	7	0	55897	99% 99%	99% 99%
33456											
7867											
725966	7										
0	55897										
SinglySortedList - 7	<table border="1"> <tr><td>33949</td></tr> <tr><td>830</td></tr> </table>	33949	830	✗ ✓	<table border="1"> <tr><td>1617109</td><td>2</td></tr> <tr><td>51</td><td>873</td></tr> </table>	1617109	2	51	873	99% 99%	99% 94%
33949											
830											
1617109	2										
51	873										
DoublyLinkedList - 7	<table border="1"> <tr><td>76574</td></tr> <tr><td>9381</td></tr> </table>	76574	9381	✗ ✓	<table border="1"> <tr><td>8914742</td><td>8</td></tr> <tr><td>1</td><td>960800</td></tr> </table>	8914742	8	1	960800	99% 99%	99% 99%
76574											
9381											
8914742	8										
1	960800										
BinaryTree - 7	<table border="1"> <tr><td>21080</td></tr> <tr><td>567</td></tr> </table>	21080	567	✗ ✓	<table border="1"> <tr><td>17845</td><td>3</td></tr> <tr><td>102</td><td>524</td></tr> </table>	17845	3	102	524	99% 99%	99% 83%
21080											
567											
17845	3										
102	524										
RedBlackTree - 7	<table border="1"> <tr><td>26894</td></tr> <tr><td>464</td></tr> </table>	26894	464	✗ ✓	<table border="1"> <tr><td>110834</td><td>267</td></tr> <tr><td>232</td><td>679</td></tr> </table>	110834	267	232	679	99% 71%	99% 74%
26894											
464											
110834	267										
232	679										

RQ3: Improved Bug Finding?

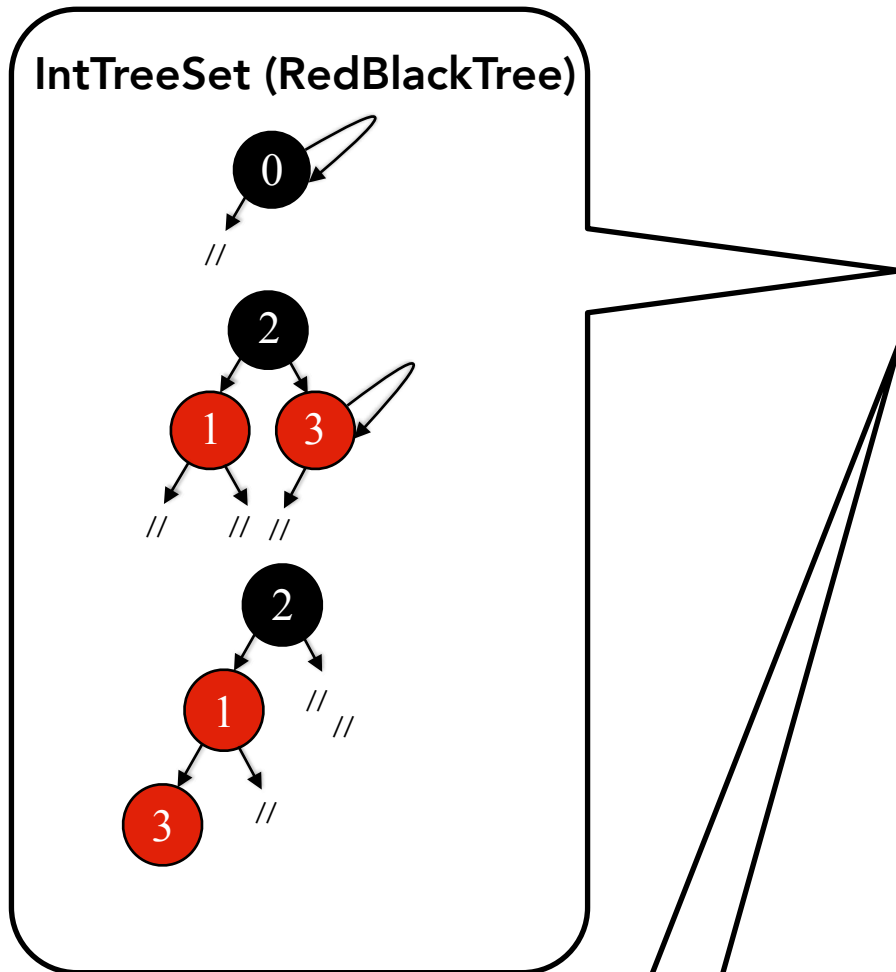
Case study	#bugs	#found without oracle	#found using NN
IntTreeSet	5	0	5
Antlr	1	0	1
FibHeap	1	0	1
BinTree	1	0	1
BinHeap	1	0	1
Schedule	8	3	4

RQ3: Improved Bug Finding?

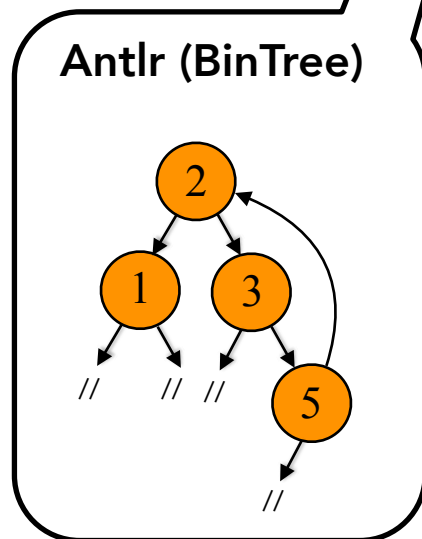


Case study	#bugs	#found without oracle	#found using NN
IntTreeSet	5	0	5
Antlr	1	0	1
FibHeap	1	0	1
BinTree	1	0	1
BinHeap	1	0	1
Schedule	8	3	4

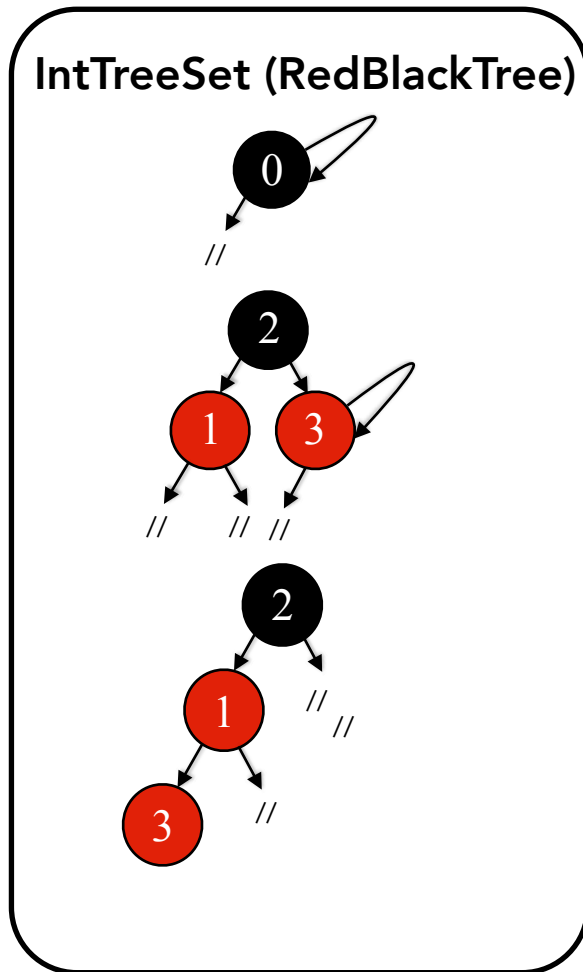
RQ3: Improved Bug Finding?



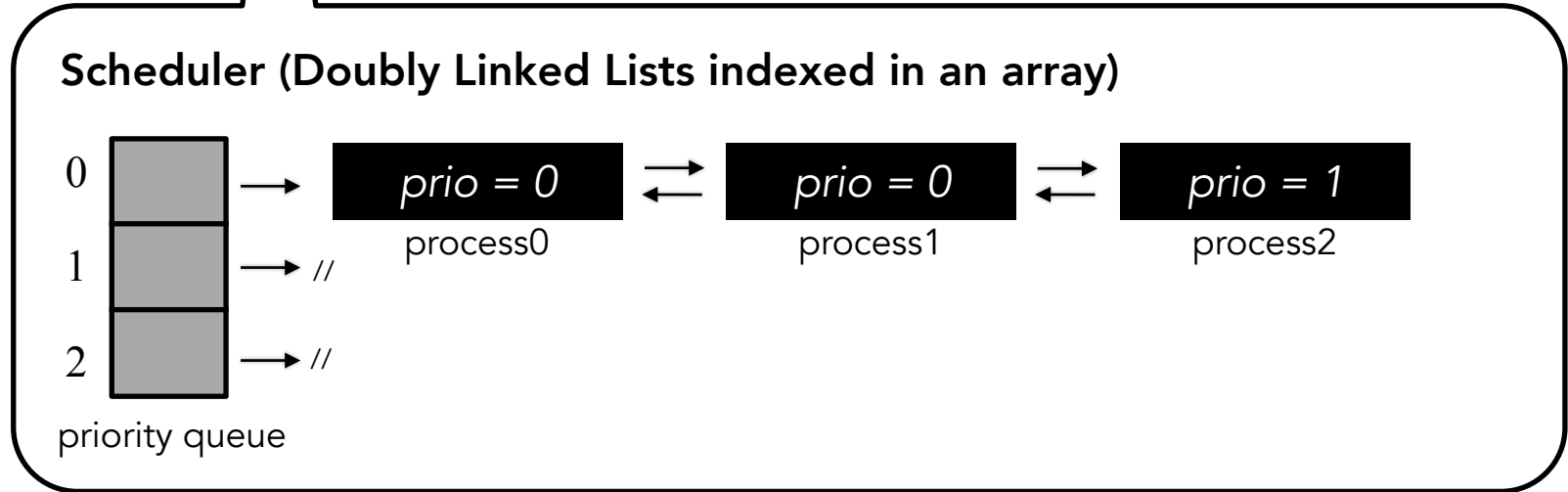
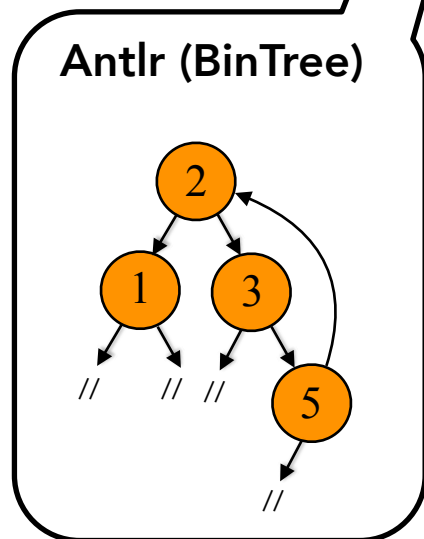
Case study	#bugs	#found without oracle	#found using NN
IntTreeSet	5	0	5
Antlr	1	0	1
FibHeap	1	0	1
BinTree	1	0	1
BinHeap	1	0	1
Schedule	8	3	4



RQ3: Improved Bug Finding?



Case study	#bugs	#found without oracle	#found using NN
IntTreeSet	5	0	5
Antlr	1	0	1
FibHeap	1	0	1
BinTree	1	0	1
BinHeap	1	0	1
Schedule	8	3	4



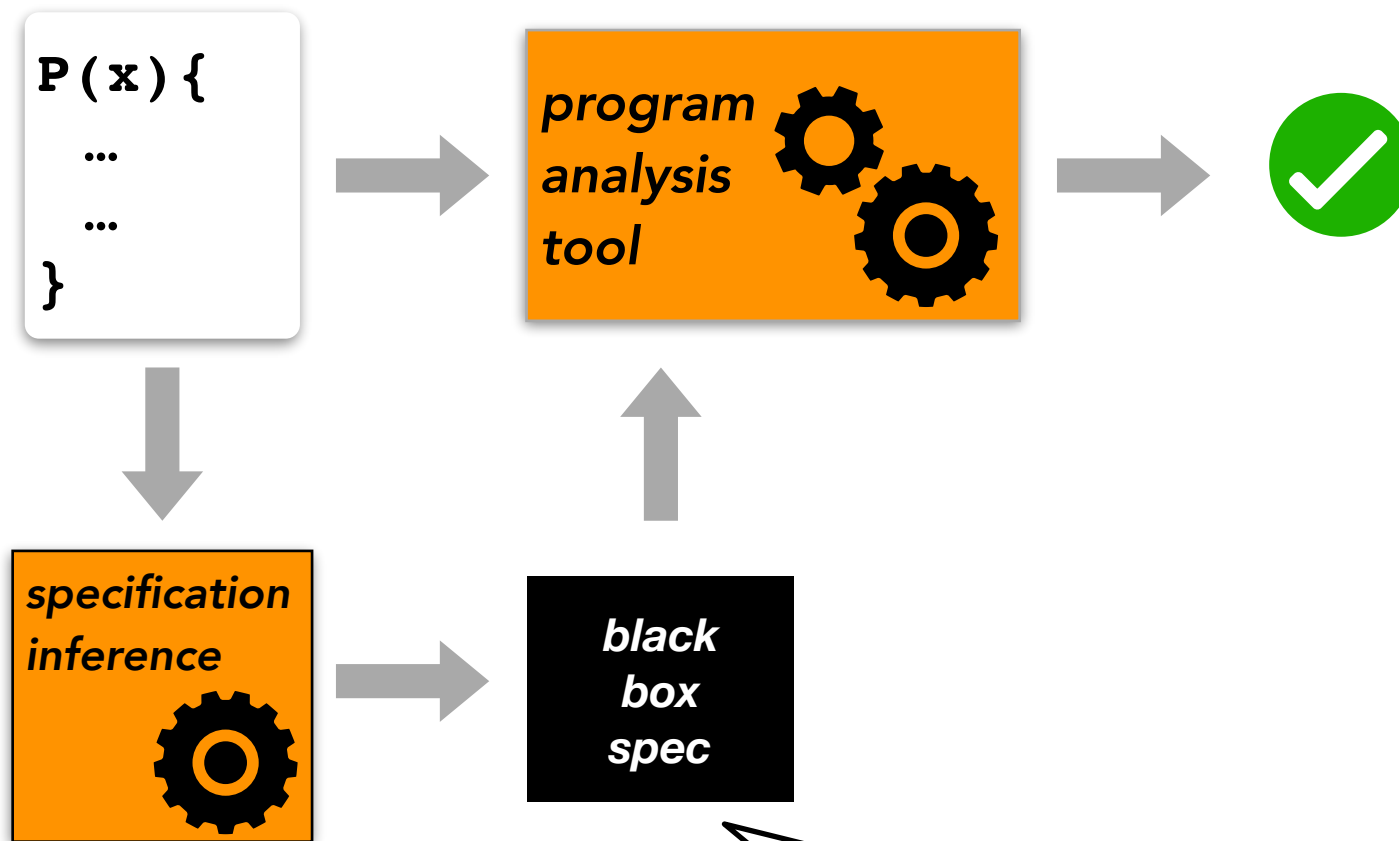
Remarks

- We defined a technique to generate valid and invalid objects from a set of assumed-correct object builders
- We proposed to use the generated objects to train a neural network to distinguish valid from invalid
- We used the trained neural network in place of an invariant for bug finding

Thank you :)

Questions?

Providing Specifications for Program Analysis



Daikon: derivation of *likely* invariants based on different executions of P

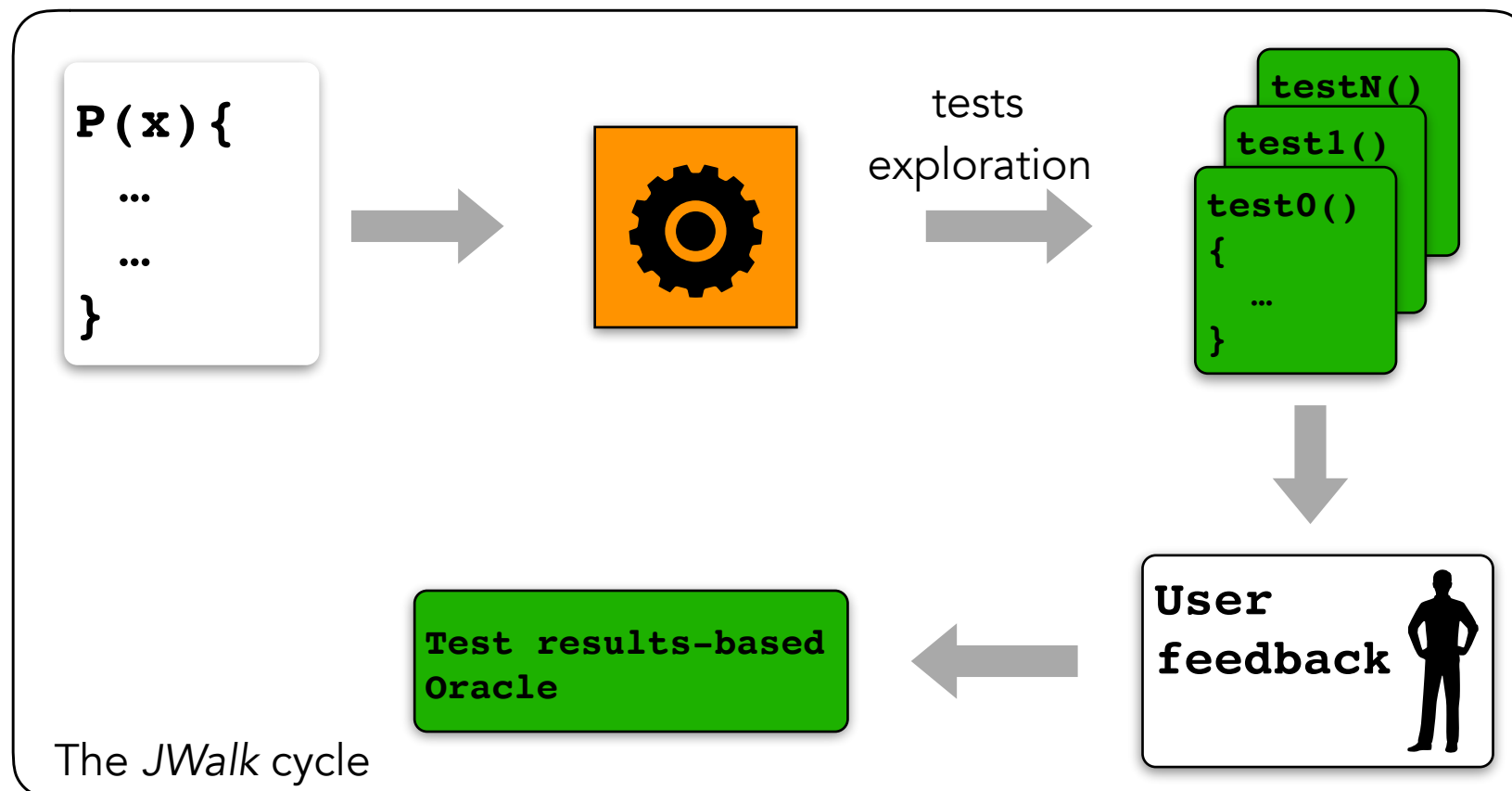
Can be thought as a classifier of correct and incorrect software behaviors

Current approaches to the Lack of Specifications

- Derivation of *likely* invariants based on different P executions



- *JWalk*: allows dynamic inference of specifications with a feedback-based approach

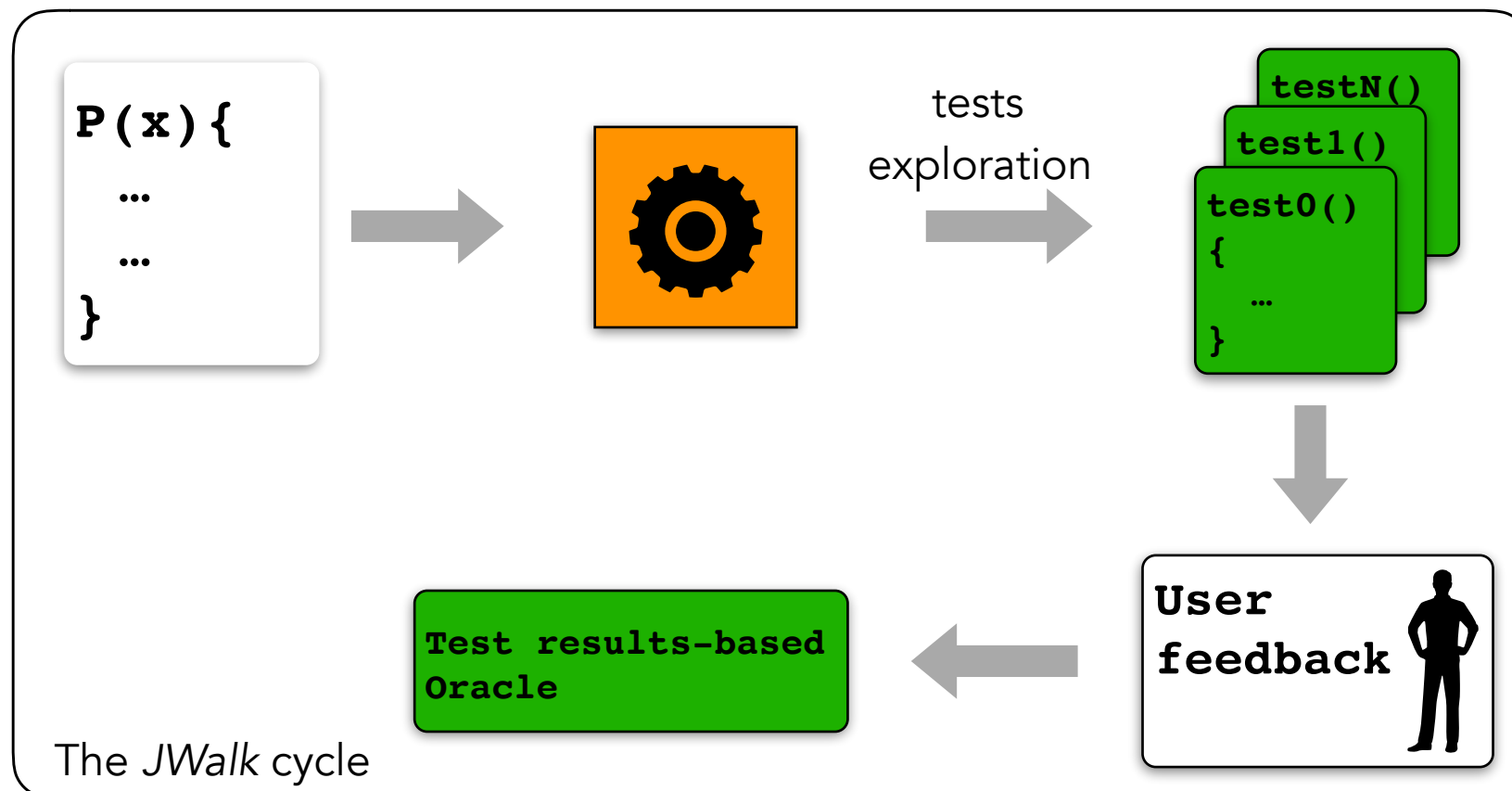


Current approaches to the Lack of Specifications

- Derivation of *likely* invariants based on different P executions



- *JWalk*: allows dynamic inference of specifications with a feedback-based approach



- ✓ human readable properties
- ✗ complex structural constraints are missed
- ✗ scenario specific oracles

Daikon in the SinglyLinkedList case

```
public class SinglyLinkedList {
    private Node header;
    private int size;

    public void SinglyLinkedList() {
        header = new Node(0);
        size = 0;
    }

    public void add(int n) {
        ...
    }
}

public class Node {
    private int value;
    private Node next;
    ...
}
```



```
this.header.value == 0;
this.size >= 0;
```

- ✗ the acyclicity property is missed
- ✗ the size property is missed

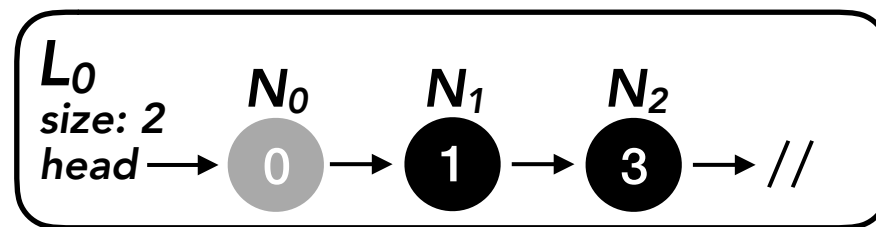
Precision and Recall vs the True Invariant for scope 7

	Validation Set		Precision	Recall
✗	9	725964	100%	1%
✓	0	55987	7%	100%

```
public void test00100() {
    public void test002() {
        public void test001() {
            SinglyLinkedList l = new SinglyLinkedList();
            l.add(0);
        }
    }
}
```

Instances as Vectors

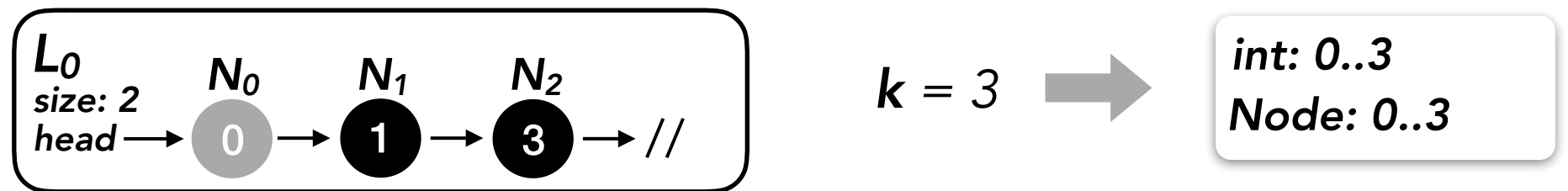
- Given an bound k : use it to define the maximum number of different values for each field
- The vector representation will have as many positions as necessary to represent k objects/values of each type



Instances as Vectors

- Given an bound k : use it to define the maximum number of different values for each field
- The vector representation will have as many positions as necessary to represent k objects/values of each type

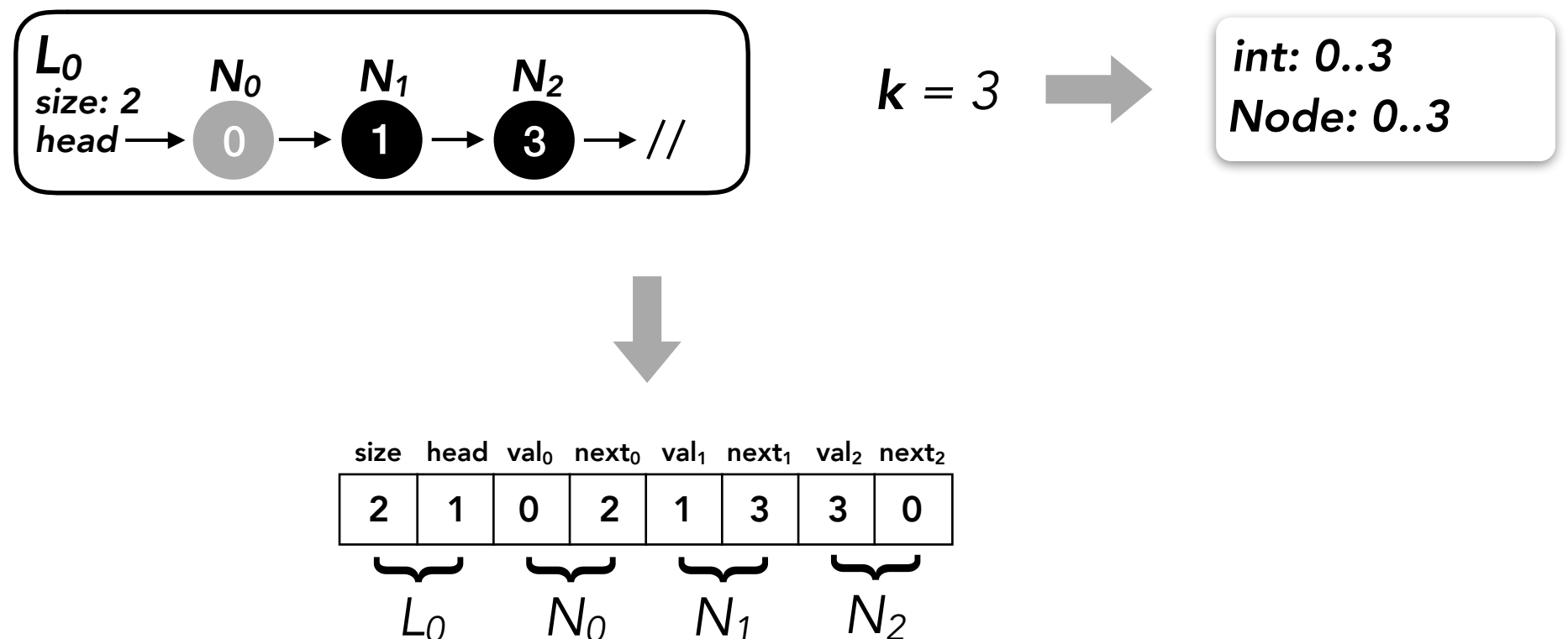
Example:



Instances as Vectors

- Given an bound k : use it to define the maximum number of different values for each field
- The vector representation will have as many positions as necessary to represent k objects/values of each type

Example:



RQ1: Measure of False Negatives Rate

max size

